

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31st March 2021
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Avadh Sugar and Energy Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.835100	12081672
(B)	Public	19073	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7771658
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	19100	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	100.0000	0	0.0000	2879682	14.385100	19853330

Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								Class eg: X	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Indian																		
(a) Individuals / Hindu Undivided Family		3	181030	0	0	181030	0.9043	181030	0	181030	0.9043	0	0.9043	0	0.0000	0	0.0000	181030
Nandini Nopany	ABMPN7831R	1	99204	0	0	99204	0.4956	99204	0	99204	0.4956	0	0.4956	0	0.0000	0	0.0000	99204
Chandra Shekhar Nopany	ABJPN5385K	1	71844	0	0	71844	0.3589	71844	0	71844	0.3589	0	0.3589	0	0.0000	0	0.0000	71844
Urvi Mittal	AJUPN1989J	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0.0499	0	0.0000	0	0.0000	9982
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)		24	11900642	0	0	11900642	59.4485	11900642	0	11900642	59.4485	0	59.4485	0	0.0000	2879682	24.1977	11900642
Promoter Trust		2	500400	0	0	500400	2.4997	500400	0	500400	2.4997	0	2.4997	0	0.0000	0	0.0000	500400
(i)Chandra Shekhar Nopany Trustee, Shekhar Family Trust	AAUTS8392K	1	500200	0	0	500200	2.4987	500200	0	500200	2.4987	0	2.4987	0	0.0000	0	0.0000	500200
(ii) Chandra Shekhar Nopany Trustee, Shruti Family Trust	AAUTS8386D	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0010	0	0.0000	0	0.0000	200
Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	56.9488	0	0.0000	2879682	25.2598	11400242
New India Retailing And Investment Ltd	AAACN9970F	1	1945304	0	0	1945304	9.7176	1945304	0	1945304	9.7176	0	9.7176	0	0.0000	1234686	63.4701	1945304
Scm Investment & Trading Co. Ltd.	AAECS1569D	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	7.4925	0	0.0000	0	0.0000	1499890
Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	7.3863	0	0.0000	0	0.0000	1478624
Rtm Investment And Trading Co. Ltd.	AAABCR3995D	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	6.7198	0	0.0000	1042740	77.5161	1345192
Sil Investments Limited	AAABS2899H	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	5.6672	0	0.0000	0	0.0000	1134480
Yashwardhan Investment & Trading Co. Ltd.	AAACY1135F	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	3.8134	0	0.0000	0	0.0000	763384
Deepshikha Trading Co Pvt Ltd	AAABCD0344C	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	3.3185	0	0.0000	204480	30.7808	664310
Ronson Traders Limited	AAABCR3999R	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	2.7909	0	0.0000	131110	23.4673	558692
Hargaon Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	2.4103	0	0.0000	0	0.0000	482510
Sidh Enterprises Ltd.	AAECS1577M	1	429996	0	0	429996	2.1480	429996	0	429996	2.1480	0	2.1480	0	0.0000	0	0.0000	429996
Sonali Commercial Ltd.	AAECS1576L	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	1.4891	0	0.0000	266666	89.4552	298100
Champaran Marketing Co. Ltd.	AAABCC1958M	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0.9555	0	0.0000	0	0.0000	191284
Osm Investment & Trading Co. Ltd.	AAACO3312L	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0.8605	0	0.0000	0	0.0000	172254
Uttam Commercial Ltd.	AAACU3603N	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0.6853	0	0.0000	0	0.0000	137190
Nilgiri Plantations Limited	AAACN9968M	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0.5055	0	0.0000	0	0.0000	101200
Narkatiaganj Farms Limited	AAABCN8163H	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0.3727	0	0.0000	0	0.0000	74610
Rajpur Farms Limited	AAACCR5726K	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0.3328	0	0.0000	0	0.0000	66618
Shree Vihar Properties Ltd	AAECS1578E	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0.1155	0	0.0000	0	0.0000	23126
La Monde Trading & Investments Private Ltd	AAACL6886G	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0.0922	0	0.0000	0	0.0000	18466
Palash Securities Limited	AAICP0502H	1	13614	0	0	13614	0.0680	13614	0	13614	0.0680	0	0.0680	0	0.0000	0	0.0000	13614
Pavapuri Trading And Investment Company Ltd.	AAABCP7400R	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0.0042	0	0.0000	0	0.0000	848
The Oudh Trading Co. (P) Ltd.	AAABCT0812H	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0027	0	0.0000	0	0.0000	550
Sub Total (A)(1)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672

Avadh Sugar and Energy Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		6	2070	0	0	2070	0.0103	2070	0	2070	0.0103	0	0.0103	0	0.0000	NA	NA	1548
(g)	Insurance Companies		3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.2983	0	0.0000	NA	NA	59705
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		13	63897	0	0	63897	0.3192	63897	0	63897	0.3192	0	0.3192	0	0.0000	NA	NA	61253
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		17819	4026526	0	0	4026526	20.1141	4026526	0	4026526	20.1141	0	20.1141	0	0.0000	NA	NA	3881994
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		17	1846424	0	0	1846424	9.2236	1846424	0	1846424	9.2236	0	9.2236	0	0.0000	NA	NA	1846424
	Anil Kumar Goel	AAJPG2552Q		1091000	0	0	1091000	5.4500	1091000	0	1091000	5.4500	0	5.4500	0	0.0000	NA	NA	1091000
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Any Other (Specify)		1224	1999901	0	0	1999901	9.9903	1999901	0	1999901	9.9903	0	9.9903	0	0.0000	NA	NA	1981987
	Trusts		1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0016	0	0.0000	NA	NA	312
	Hindu Undivided Family		632	415173	0	0	415173	2.0740	415173	0	415173	2.0740	0	2.0740	0	0.0000	NA	NA	415171
	Non Resident Indians (Non Repat)		93	41206	0	0	41206	0.2058	41206	0	41206	0.2058	0	0.2058	0	0.0000	NA	NA	41206
	Non Resident Indians (Repat)		159	82899	0	0	82899	0.4141	82899	0	82899	0.4141	0	0.4141	0	0.0000	NA	NA	82895
	Clearing Member		79	61822	0	0	61822	0.3088	61822	0	61822	0.3088	0	0.3088	0	0.0000	NA	NA	61820
	Bodies Corporate		260	1398489	0	0	1398489	6.9860	1398489	0	1398489	6.9860	0	6.9860	0	0.0000	NA	NA	1380583
	Navjeevan Medical Institute	AAATN2102N	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	0.0000	NA	NA	550388
	The Hindustan Times Limited	AAACT4962F	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	1.0711	0	0.0000	NA	NA	214412
	Sub Total (B)(3)		19060	7872851	0	0	7872851	39.3280	7872851	0	7872851	39.3280	0	39.3280	0	0.0000	NA	NA	7710405
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		19073	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7771658

Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		