

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- P O HARGAON,
SITAPUR
Sitapur
Uttar Pradesh
261121
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	National Stock Exchange & BSE	1,025

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 26/07/2023

(b) Due date of AGM 30/09/2023

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 4

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C1	Food, beverages and tobacco products	72.73
2	C	Manufacturing	C5	Coke and refined petroleum products	20
3	D	Electricity, gas, steam and air condition supply	D1	Electric power generation, transmission and distribution	1.83
4	G	Trade	G1	Wholesale Trading	5.44

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	56,050,000	20,018,420	20,018,420	20,018,420
Total amount of equity shares (in Rupees)	560,500,000	200,184,200	200,184,200	200,184,200

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	56,050,000	20,018,420	20,018,420	20,018,420
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	560,500,000	200,184,200	200,184,200	200,184,200

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	83,400,000	0	0	0
Total amount of preference shares (in rupees)	1,140,000,000	0	0	0

Number of classes

2

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	3,400,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	340,000,000	0	0	0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares	80,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	800,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	98,419	19,920,001	20018420	200,184,200	200,184,200	
Increase during the year	0	7,541	7541	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify	0	7,541	7541			
Dematerialisation						
Decrease during the year	7,541	0	7541	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0

iv. Others, specify	7,541	0	7541			
Dematerialisation						
At the end of the year	90,878	19,927,542	20018420	200,184,200	200,184,200	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE349W01017

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="20/07/2022"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	----------------------	--

Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

27,980,148,897.81

(ii) Net worth of the Company

7,896,436,886.83

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	181,230	0.91	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	11,400,242	56.95	0	
10.	Others Promoter Trusts	507,700	2.54	0	
	Total	12,089,172	60.4	0	0

Total number of shareholders (promoters)

27

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	5,907,727	29.51	0	
	(ii) Non-resident Indian (NRI)	136,298	0.68	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	53,861	0.27	0	
4.	Banks	388	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	378,263	1.89	0	
7.	Mutual funds	2,116	0.01	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	808,216	4.04	0	
10.	Others Society, Trusts, Clearing Me	642,379	3.21	0	
	Total	7,929,248	39.61	0	0

Total number of shareholders (other than promoters)

26,500

**Total number of shareholders (Promoters+Public/
Other than promoters)**

26,527

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

21

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			7,220	0.04
AMERICAN CENTURY F	DEUTSCHE BANK AG, DB HOUSE, F			127	0
NATIONAL RAILROAD	CITIBANK N.A. CUSTODY SERVICES			30,546	0.15
EMERGING MARKETS C	DEUTSCHE BANK AG, DB HOUSE, F			1,611	0.01

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
THE UNIVERSITY OF TEXAS	DEUTSCHE BANK AG, DB HOUSE, F			5,440	0.03
RUSSELL INVESTMENT	DEUTSCHE BANK AG, DB HOUSE H			6,718	0.03
TEACHERS' RETI	DEUTSCHE BANK AG, DB HOUSE H			4,037	0.02
ACADIAN EMERGING I	DEUTSCHE BANK AG, DB HOUSE H			140,231	0.7
PUBLIC EMPLOYEES RI	HSBC SECURITIES SERVICES 11TH F			5,003	0.03
ALASKA PERMANENT	DEUTSCHE BANK AG DB HOUSE, H			4,974	0.02
CITY OF NEW YORK GF	HSBC SECURITIES SERVICES 11TH F			33,943	0.17
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			1,668	0.01
TEACHERS RETIREMEN	JPMORGAN CHASE BANK N.A. IND			6,126	0.03
VIRGINIA RETIREMENT	DEUTSCHE BANK AG DB HOUSE, H			20,788	0.1
FLORIDA RETIREMENT	DEUTSCHE BANK AG DB HOUSE, H			20,363	0.1
TEACHERS' RETI	CITIBANK N.A. CUSTODY SERVICES			23,057	0.11
AMERICAN CENTURY F	HSBC SECURITIES SERVICES 11TH F			1,300	0.01
BUMA-UNIVERSAL-FO	JPMORGAN CHASE BANK N.A. IND			43,452	0.22
EMERGING MARKETS C	CITIBANK N.A. CUSTODY SERVICES			6,123	0.03
MONETARY AUTHORIT	CITIBANK N.A. CUSTODY SERVICES			9,437	0.05
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES			6,099	0.03

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	27	27
Members (other than promoters)	24,877	26,500
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	2	0	2	0	0.84
B. Non-Promoter	1	5	1	5	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	5	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	7	0	0.84

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NANDINI NOPANY	00051362	Director	99,404	
CHANDRA SHEKHAR I	00014587	Director	68,176	
GAURAV SWARUP	00374298	Director	0	
ANAND ASHVIN DALA	00353555	Director	0	
PRADIP KUMAR BISHN	00732640	Director	0	
KALPATARU TRIPATHI	00865794	Director	0	
KAUSALYA MADHAVA	05198559	Director	0	
DEVENDRA KUMAR SI	06498196	Whole-time directo	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
DILIP PATODIA	AGOPP4294P	CFO	0	
DEVINDER KUMAR JA	ADJPJ1042M	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	20/07/2022	26,071	71	62.96

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	10/05/2022	8	7	87.5
2	01/06/2022	8	7	87.5
3	04/08/2022	8	8	100
4	07/11/2022	8	8	100
5	06/02/2023	8	7	87.5
6	28/03/2023	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	10/05/2022	5	5	100
2	AUDIT COMM	04/08/2022	5	5	100
3	AUDIT COMM	07/11/2022	5	5	100
4	AUDIT COMM	06/02/2023	5	5	100
5	STAKEHOLDE	10/05/2022	4	4	100
6	STAKEHOLDE	04/08/2022	4	4	100
7	STAKEHOLDE	04/11/2022	4	4	100
8	STAKEHOLDE	02/02/2023	4	4	100
9	NOMINATION	10/05/2022	4	4	100
10	NOMINATION	23/09/2022	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/07/2023
								(Y/N/NA)
1	NANDINI NOF	6	3	50	4	2	50	Yes
2	CHANDRA SH	6	6	100	1	1	100	Yes
3	GAURAV SWA	6	6	100	8	8	100	Yes
4	ANAND ASHV	6	6	100	11	11	100	Yes
5	PRADIP KUM	6	6	100	13	13	100	No
6	KALPATARU	6	6	100	7	6	85.71	Yes
7	KAUSALYA M	6	6	100	9	9	100	Yes
8	DEVENDRA K	6	6	100	14	13	92.86	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	DEVENDRA KUMAR	WHOLETIME D	12,767,044	0	0	2,264,276	15,031,320
	Total		12,767,044	0	0	2,264,276	15,031,320

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	DILIP PATODIA	CFO	13,891,247	0	0	3,338,196	17,229,443
2	DEVINDER KUMAR	COMPANY SEC	6,970,857	0	0	1,437,192	8,408,049
	Total		20,862,104	0	0	4,775,388	25,637,492

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NANDINI NOPANY	DIRECTOR	0	1,000,000	0	160,000	1,160,000
2	CHANDRA SHEKHAR	DIRECTOR	0	1,000,000	0	260,000	1,260,000
3	GAURAV SWARUP	DIRECTOR	0	1,000,000	0	400,000	1,400,000
4	ANAND ASHVIN DAVE	DIRECTOR	0	1,000,000	0	460,000	1,460,000
5	PRADIP KUMAR BANSAL	DIRECTOR	0	1,000,000	0	500,000	1,500,000
6	KALPATARU TRIPATHI	DIRECTOR	0	1,000,000	0	360,000	1,360,000
7	KAUSALYA MADHAN	DIRECTOR	0	1,000,000	0	420,000	1,420,000
	Total		0	7,000,000	0	2,560,000	9,560,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SIDHI DHANDHANIA

Whether associate or fellow

Associate Fellow

Certificate of practice number

13019

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 10 dated 06/08/2018

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DEVENDRA KUMAR SHARMA
Digitally signed by DEVENDRA KUMAR SHARMA
Date: 2023.08.31 09:48:13 +05'30'

DIN of the director

06498196

To be digitally signed by

DEVINDER KUMAR JAIN
Digitally signed by DEVINDER KUMAR JAIN
Date: 2023.08.31 09:48:44 +05'30'

Company Secretary

Company secretary in practice

Membership number

14674

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach
Attach
Attach
Attach

List of attachments

AseI network comm etc.pdf
ASEL SHT22_23.pdf
AVADH_FPI.pdf
MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Details of Turnover & Networth as on 31st March, 2023

V. TURNOVER AND NETWORTH OF THE COMPANY

Amount in Rs.

Turnover	27,98,01,48,897.81
Networth	7,89,64,36,886.83

For Avadh Sugar & Energy Limited



Chief Financial Officer



K.K. BIRLA GROUP OF SUGAR COMPANIES

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001

Phone: +91 33 2243 0497 / 8, 2248 7068, 7185 3000, 4082 3700 • Email: birlasugar@birla-sugar.com

Regd. Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635

AVADH SUGAR & ENERGY LIMITED

**C. COMMITTEE MEETINGS
NO OF MEETINGS HELD**

17

SL NO	TYPE OF MEETING	DATE OF MEETING	NO OF MEMBERS AS ON DATE OF MEETING	ATTENDANCE	
				NO OF MEMBERS ATTENDED	% OF ATTENDANCE
1	AUDIT COMMITTEE	10-05-2022	5	5	100.00
2	AUDIT COMMITTEE	04-08-2022	5	5	100.00
3	AUDIT COMMITTEE	07-11-2022	5	5	100.00
4	AUDIT COMMITTEE	06-02-2023	5	5	100.00
5	STAKEHOLDERS RELATIONSHIP COMMITTEE	10-05-2022	4	4	100.00
6	STAKEHOLDERS RELATIONSHIP COMMITTEE	04-08-2022	4	4	100.00
7	STAKEHOLDERS RELATIONSHIP COMMITTEE	04-11-2022	4	4	100.00
8	STAKEHOLDERS RELATIONSHIP COMMITTEE	02-02-2023	4	4	100.00
9	NOMINATION AND REMUNERATION COMMITTEE	10-05-2022	4	4	100.00
10	NOMINATION AND REMUNERATION COMMITTEE	23-09-2022	4	4	100.00
11	NOMINATION AND REMUNERATION COMMITTEE	02-02-2023	4	3	75.00
12	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	10-05-2022	3	2	66.67
13	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	03-11-2022	3	3	100.00
14	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	06-02-2023	3	2	66.67
15	FINANCE & CORPORATE AFFAIRS COMMITTEE	17-01-2023	4	3	75.00
16	RISK MANAGEMENT COMMITTEE	23-09-2022	5	5	100.00
17	RISK MANAGEMENT COMMITTEE	20-03-2023	5	5	100.00



K.K. BIRLA GROUP OF SUGAR COMPANIES

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001

Phone: +91 33 2243 0497 / 8, 2248 7068, 7185 3000, 4082 3700 • Email: birlasugar@birla-sugar.com

Regd. Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635



Link Intime India Pvt Ltd
CIN : U67190MH1999PTC118368
Vaishno Chamber, 5th Floor,
Room No. 502 & 503
6, Brabourne Road, Kolkata - 700001
Tel : 033-4004 9728 , Fax : 033-4073 1698
E-mail : kolkata@linkintime.co.in
Website : www.linkintime.co.in

Avadh Sugar and Energy Limited

Details of shares/debentures transfers from 01.04.2022 To 31.03.2023 Closure Date-31.03.2023

Transfer Date	Type	SH/DB	AMTPERSH	Folio	Seller Name	Folio	Buyer Name
06/05/2022	1	220	000010	00001282	MADAN GOPAL TODI	0001790	SASHI KANT TODI
19/07/2022	1	3	000010	0V000218	VIMALKANT JIVANLAL S	0001792	VIMALKANT JIVANLAL S
10/08/2022	1	46	000010	0J000518	JAMNABAI SHYAMDAS SA	0001791	SANJAY KRISHNAKUMAR
11/10/2022	1	200	000010	0N000171	NEELA NITIN SHETE	0001793	VIR NITIN SHETE
08/12/2022	1	198	000010	00001321	NARESH CHAND JAIN	0001794	MOHIT KUMAR JAIN
11/01/2023	1	246	000010	00001024	ATINDRA CHUNDER	0001795	MAYA CHUNDER
24/01/2023	1	2	000010	00001213	KRISHNA MOHAN GHOSH	0001796	SMITA MITRA
24/01/2023	1	2	000010	00001405	ROMA GHOSH	0001796	SMITA MITRA
24/01/2023	1	2	000010	00001013	ANNA PURNA GHOSH	0001796	SMITA MITRA
09/02/2023	1	14	000010	0G000105	GOPAL NARAYAN VAIDYA	0001797	SURESH GOPAL VAIDYA
13/02/2023	1	21	000010	0H000107	HARSHAD J KOTAK	0001798	MANJULA HARSHAVARDAN
13/02/2023	1	21	000010	0M000296	MANJULA H KOTAK	0001798	MANJULA HARSHAVARDAN



Avadh Sugar and Energy Limited									
Details in respect of shares held by or on behalf of the FIs/ FPIs.									
Sr. No	Name Of FI/FPI	FI/FPI	Folio No/DP Id	Pan No.	Address	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	AMERICAN CENTURY ETF TRUST-AVANITIS EMERGING MARKETS EQUITY ETF	FPI	IN30016710159933	AAHTA5157H	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUSFP083219/NA	7220	0.0361
2	AMERICAN CENTURY ETF TRUST-AVANITIS RESPONSIBLE EMERGING MARKETS EQUITY ETF	FPI	IN30016710169081	AAJTA0542Q	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUSFP019322/NA	127	0.0006
3	NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST	FPI	IN30005410019445	AAATN9100A	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098		IN-US-FP-0060-16/N.A.	30546	0.1526
4	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FPI	IN30016710156689	AAACCD1506B	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUKFP032216/NA	1611	0.0008
5	THE UNIVERSITY OF TEXAS SYSTEM-ACADIAN ASSET MANAGEMENT	FPI	IN30016710081274	AAAIT2339R	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUSFP152016/NA	5440	0.0272
6	RUSSELL INVESTMENT COMPANY PLC-ACADIAN SUSTAINABLE EMERGING MARKETS EQUITY EX-FOSSIL FUEL UCITS	FPI	IN30016710142434	AAOC49377P	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INIRFP104517/NA	6718	0.0336
7	TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC	FPI	IN30016710143319	AAAIT78980F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUSFP147217/NA	4037	0.0202
8	ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC	FPI	IN30016710118522	AAAMCAG207G	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI 400001		INUSFP171615/NA	140231	0.7005
9	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	FPI	IN30014210779541	AAATP5186E	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI 400063		INUSFP130016/A.P. DIR SER CIR NO 112	5003	0.025
10	ALASKA PERMANENT FUND	FPI	IN30016710021055	AADTA7800K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001		INUSFP117816/NA	4974	0.0248
11	CITY OF NEW YORK GROUP TRUST	FPI	IN30014220774577	AAAIT08419K	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI 400063		INUSFP213516/A.P. (DIR SERIES) CIRCULAR NO 112	33943	0.1696
12	EMERGING MARKETS SUSTAINABILITY CORE PORTFOLIO	FPI	IN30005410097678	AAAFCE9320Q	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098		IN-US-FP-0240-18/NA	1668	0.0083



13	TEACHERS RETIREMENT SYSTEM OF THE CITY OF NEW YORK	FPI	IN30343810013506	AACTT55490	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INUSFP168115/CIRCULAR NO. 533	6126	0.0306
14	VIRGINIA RETIREMENT SYSTEM MANAGED BY ACADIAN ASSET MANAGEMENT	FPI	IN30016710056973	AAATV3654P	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INUSFP120417/NA	20788	0.1038
15	FLORIDA RETIREMENT SYSTEM - ACADIAN ASSET MANAGEMENT, INC.	FPI	IN30016710058030	AAAJF0103H	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI 400001	INUSFP146616/NA	20363	0.1017
16	TEACHERS RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC - EM SMALL CAP	FPI	IN30005410114015	AAATB18980F	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	IN-US-FP-0859-21/ NA	23057	0.1152
17	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS VALUE ETF	FPI	IN30014210783250	AAITA9218I	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI 400063	INUSFP100121/A.P. (DIR SERIES) CIRCULAR NO. 112	1300	0.0065
18	BUMA-UNIVERSAL-FONDS I EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30343810008236	AAATB1124D	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI 400064	INGEFP004717/CIRCULAR NO. 533	43452	0.2171
19	(DFAIDG)	FPI	IN30005410013410	AAACD1644G	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	IN-US-FP-0314-16/N.A.	6123	0.0306
20	MONETARY AUTHORITY OF SINGAPORE ACCOUNT 1	FPI	IN30005410106594	AAJLM3008N	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	IN-SG-FP-0871-17/NA	9437	0.0471
21	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013268	AAATD7768G	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI 400098	IN-US-FP-0339-16/NA	6099	0.0305

GENERATED ON : 10/08/2023



CS Siddhi Dhandharia B.Com(H), A.C.S.
Practising Company Secretary

27 Brabourne Rd, Narayani Building, Room # 402, Kolkata-700001
M: 9831423999 ✉:cssiddhi51@gmail.com

FORM No. MGT – 8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

We have examined the registers, records and books and papers of **AVADH SUGAR & ENERGY LIMITED** having CIN L15122UP2015PLC069635 (the Company), as required to be maintained under the Companies Act, 2013 (the Act) and Rules made thereunder for the financial year ended on 31st March, 2023 (financial year). In our opinion and to the best of my information and considering the relaxations granted by Ministry of Corporate Affairs of India warranted due to the spread of COVID-19 pandemic and according to the online verification of the Company's books, papers, minute books, forms and returns filed and other records maintained by the Company and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents and management representation letter, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied the relevant provisions of the Act & Rules made thereunder in respect of the following, as per details mentioned below:-
1. it's status under the Act-;
 2. maintenance of the registers/ records & making entries therein within the time prescribed therefor;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/ beyond the prescribed time - There is no specific provision in the existing Form MGT-7 to state the filing of forms and returns;
 4. calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the Company on due dates as stated in

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the annual return in respect of which meetings, proper notices were given and the proceeding including resolutions passed by postal ballot have been properly recorded in the minutes book / registers maintained for the purpose and the same have been signed. No circular resolution was passed during the financial year;

5. closure of Register of Members/security holders as the case may be;
6. advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act, wherever applicable;
7. contracts/ arrangements with related parties as specified in Section 188 of the Act, wherever applicable;
8. issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances – There was no instance of issue, allotment or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital and conversion of shares/ securities;
9. keeping in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - There were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act - The Company was not required to transfer any amount to Investor Education and Protection Fund;

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11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof - The signing of the audited financial statement of the Company was as per the provisions of Section 134 of the Act;
12. constitution/ appointment / re-appointments/ retirement/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them, wherever applicable. There was no instance of appointment, filling up of casual vacancy of Director during the aforesaid financial year.
13. There was instance of appointment of auditor as per the provisions of section 139 of the Act during the financial year. There was no instance of filling up casual vacancy of auditor or re-appointment of auditors under section 139 of the Act.
14. approvals required to be taken from the Registrar of Companies. There were no instances where the Company has obtained any approvals of the Court, National Company Law Tribunal, Central Government, Regional Director, or such other authorities prescribed under the various provisions of the Act during the financial year;
15. acceptance/ renewal/ repayment of deposits. There was no instance of acceptance/renewal/repayment of deposits during the financial year;
16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act, wherever applicable;

CS Siddhi Dhandharia B.Com(H), A.C.S.
Practising Company Secretary

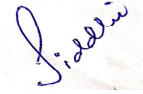
27 Brabourne Rd, Narayani Building, Room # 402, Kolkata-700001
M: 9831423999 ✉:cssiddhi51@gmail.com

18. alteration of the provisions of the Memorandum and Articles of Association of the Company – There was no alteration of the Memorandum and Articles of Association of the Company during the aforesaid financial year.

Place: Kolkata

Date: 30.08.2023

Signature:



Name : CS Siddhi Dhandharia

ICSI Unique Code No.: I2014WB1138300

A.C.S. No. : 35042

C.P. No. : 13019

PR No. : 3114/2023

UDIN : **A035042E000892536**