

*g/c*

AVADH/SE/2020-21/42

October 21, 2020

The Manager  
Listing Department  
National Stock Exchange of India Limited  
'Exchange Plaza'  
C - 1, Block G  
Bandra-Kurla Complex  
Bandra (E)  
Mumbai 400051  
SYMBOL – AVADHSUGAR

The Manager  
Listing Department  
BSE Ltd.  
1st Floor, New Trading Ring  
Rotunda Building  
P.J. Towers  
Dalal Street, Fort  
Mumbai-400 001  
STOCK CODE - 540649

The Secretary  
The Calcutta Stock  
Exchange Ltd  
7, Lyons Range  
Kolkata 700 001

Scrip Code - 11610

Dear Sirs,

**Sub : Shareholding Pattern as on 30<sup>th</sup> September, 2020**

Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith the shareholding pattern as on 30<sup>th</sup> September, 2020 in the prescribed format.

Please acknowledge receipt.

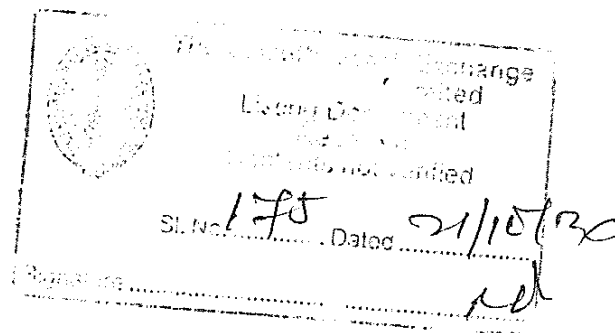
Thanking you,

Yours faithfully,  
For Avadh Sugar & Energy Limited

*Anand Sharma*  
Anand Sharma  
Company Secretary  
FCS - 7305



Encl – as above



K.K. BIRLA GROUP OF SUGAR COMPANIES

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September 2020
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



**Avadh Sugar and Energy Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of direct share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV) - (V) + (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII) ÷ (X) As a % of (A+B-C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	2879682	23.835100	12081672		
(B)	Public	19413	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA	7770268		
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	1879582	14.385100	19851940		
	Total	19440	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	0.0000					



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sl. No.	Category & Name of the shareholders	PAN	Nos. of Shareholders	No. of Fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (e)	No. (a)	As a % of total Shares held (b)	
									Class eg: X	Class eg: Y								
1	Indian								(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)		
(a)	Individuals / Hindu Undivided Family		3	181030	0	0	181030	0.9043	181030	0	0.9043	0	0.9043	0	0.0000	0	0.0000	181030
	Nandini Nopany	ABMPN7811R	1	99204	0	0	99204	0.4956	99204	0	0.4956	0	0.4956	0	0.0000	0	0.0000	99204
	Chandra Snekhari Nopany	ABJPN5365K	1	71844	0	0	71844	0.3589	71844	0	0.3589	0	0.3589	0	0.0000	0	0.0000	71844
	Urvi Nopany	AJUPN1981J	1	9982	0	0	9982	0.0499	9982	0	0.0499	0	0.0499	0	0.0000	0	0.0000	9982
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		24	11900642	0	0	11900642	59.4485	11900642	0	59.4485	0	59.4485	0	0.0000	0	0.0000	11900642
	Promoter Trusts:		2	500400	0	0	500400	2.4997	500400	0	2.4997	0	2.4997	0	0.0000	0	0.0000	500400
	Chandra Snekhari Nopany	AAUTS8391K	1	500200	0	0	500200	2.4987	500200	0	2.4987	0	2.4987	0	0.0000	0	0.0000	500200
	Chandra Snekhari Nopany	AAUTS8386D	1	200	0	0	200	0.0010	200	0	0.0010	0	0.0010	0	0.0000	0	0.0000	200
	Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	56.9488	0	56.9488	0	0.0000	0	0.0000	11400242
	New India Retailing And Investment Ltd	AAACN5979F	1	1945304	0	0	1945304	9.7176	1945304	0	9.7176	0	9.7176	0	0.0000	0	0.0000	1945304
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	1499890	0	0	1499890	7.4925	1499890	0	7.4925	0	7.4925	0	0.0000	0	0.0000	1499890
	Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	1478624	0	0	1478624	7.3863	1478624	0	7.3863	0	7.3863	0	0.0000	0	0.0000	1478624
	Rtm Investment And Trading Co. Ltd.	AAABCR3955D	1	1345192	0	0	1345192	6.7198	1345192	0	6.7198	0	6.7198	0	0.0000	0	0.0000	1345192
	Sil Investments Limited	AAABCS2859H	1	1134480	0	0	1134480	5.6672	1134480	0	5.6672	0	5.6672	0	0.0000	0	0.0000	1134480
	Yashvardhan Investment & Trading Co. Ltd.	AAACY1125F	1	763384	0	0	763384	3.8134	763384	0	3.8134	0	3.8134	0	0.0000	0	0.0000	763384
	Deepshikha Trading Co Pvt Ltd	AAABCO0344C	1	664310	0	0	664310	3.3185	664310	0	3.3185	0	3.3185	0	0.0000	0	0.0000	664310
	Rorson Traders Limited	AAABCR3999R	1	558692	0	0	558692	2.7909	558692	0	2.7909	0	2.7909	0	0.0000	0	0.0000	558692
	Hargaon Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	2.4103	0	2.4103	0	0.0000	0	0.0000	482510
	Sidh Enterprises Ltd.	AAECS1577M	1	429996	0	0	429996	2.1480	429996	0	2.1480	0	2.1480	0	0.0000	0	0.0000	429996
	Sonai Commercial Ltd.	AAECS157E	1	298100	0	0	298100	1.4891	298100	0	1.4891	0	1.4891	0	0.0000	0	0.0000	298100
	Champara Marketing Co. Ltd.	AAABCC1958M	1	191284	0	0	191284	0.9555	191284	0	0.9555	0	0.9555	0	0.0000	0	0.0000	191284
	Osm Investment & Trading Co. Ltd.	AAAC03311L	1	172254	0	0	172254	0.8605	172254	0	0.8605	0	0.8605	0	0.0000	0	0.0000	172254
	Uttam Commercial Ltd.	AAACU3601N	1	137190	0	0	137190	0.6853	137190	0	0.6853	0	0.6853	0	0.0000	0	0.0000	137190
	Nilgiri Plantations Limited	AAACN9561M	1	101200	0	0	101200	0.5055	101200	0	0.5055	0	0.5055	0	0.0000	0	0.0000	101200
	Narkatiaganj Farms Limited	AAABCN8163H	1	74610	0	0	74610	0.3727	74610	0	0.3727	0	0.3727	0	0.0000	0	0.0000	74610
	Rajpur Farms Limited	AAACRS726K	1	66618	0	0	66618	0.3328	66618	0	0.3328	0	0.3328	0	0.0000	0	0.0000	66618
	Shree Viha Properties Ltd	AAECS1578E	1	23126	0	0	23126	0.1155	23126	0	0.1155	0	0.1155	0	0.0000	0	0.0000	23126
	La Monde Trading & Investments Private Ltd	AAAC16686G	1	18466	0	0	18466	0.0922	18466	0	0.0922	0	0.0922	0	0.0000	0	0.0000	18466
	Palash Securities Lim ted	AAICP0502H	1	13614	0	0	13614	0.0680	13614	0	0.0680	0	0.0680	0	0.0000	0	0.0000	13614
	Pavapuri Trading And Investment Company Ltd.	AAABCP740CR	1	848	0	0	848	0.0042	848	0	0.0042	0	0.0042	0	0.0000	0	0.0000	848



The Oudh Trading Co. (P) Ltd.	AABTC812H	-	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0027	0	0.0000	0	0.0000	550
Sub Total (A)(1)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(2) of SEB (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



**Avadh Sugar and Energy Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

1	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held		No. of shares underlym g Depositor Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
				No. of Voting Rights	Total as a % of (A+B+C)				No. (a)	As a % of total Shares held (b)	No. (a)			As a % of total Shares held (b)				
					Class eg: X										Class eg: y	Total		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(IX)			(X)	(XII)	(XIII)		(XIV)			
(a)	Mutual Fund		4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0000	NA	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f)	Financial Institutions / Banks		6	2070	0	0	2070	0.0103	2070	0	2070	0.0103	0	0.0000	NA	NA	1548	
(g)	Insurance Companies		3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.0000	NA	NA	59705	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Sub Total (B)(1)		13	63897	0	0	63897	0.3192	63897	0	63897	0.3192	0	0.0000	NA	NA	61253	
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
3	Non-institutions																	
(a)	Individuals														NA	NA		
	i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.		18125	3999184	0	0	3999184	19.9775	3999184	0	3999184	19.9775	0	0.0000	NA	NA	3853756	
	ii. individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		20	1886395	0	0	1886395	9.4233	1886395	0	1886395	9.4233	0	0.0000	NA	NA	1886395	
	Annular Guet	AA:PG2352Q		1091000	0	0	1091000	5.4300	1091000	0	1091000	5.4300	0	0.0000	NA	NA	1091000	
(b)	NBFLs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Any Other (Specify) Societies		255	1987272	0	0	1987272	9.9272	1987272	0	1987272	9.9272	0	0.0000	NA	NA	1988864	
			1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388	



Navjeevan Medical Institute	AAATN2102N	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	C	NA	NA	550388
Trusts		1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0016	0	0.0000	NA	NA	312
Hindu Undivided Family		641	404330	0	0	404330	2.0198	404330	0	404330	2.0198	0	2.0198	0	0.0000	NA	NA	404328
Non Resident Indians (Non Repat)		94	26125	0	0	26125	0.1305	26125	0	26125	0.1305	0	0.1305	0	0.0000	NA	NA	26125
Non Resident Indians (Repat)		168	109397	0	0	109397	0.5465	109397	0	109397	0.5465	0	0.5465	0	0.0000	NA	NA	109393
Clearing Member		62	31409	0	0	31409	0.1569	31409	0	31409	0.1569	0	0.1569	0	0.0000	NA	NA	31407
Market Maker		1	372	0	0	372	0.0019	372	0	372	0.0019	0	0.0019	0	0.0000	NA	NA	372
Bodies Corporate		286	864445	0	0	864445	4.3182	864445	0	864445	4.3182	0	4.3182	0	0.0000	NA	NA	846539
Earthstone Holding (Two) Private Limited AACCE1490K		1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	1.0711	0	0.0000	NA	NA	214412
Enemy property		1	494	0	0	494	0.0025	494	0	494	0.0025	0	0.0025	0	0.0000	NA	NA	0
Sub Total (B)(3)		19400	7872851	0	0	7872851	39.3280	7872851	0	7872851	39.3280	0	39.3280	0	0.0000	NA	NA	7709015
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		19413	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7770268

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Name of Shareholders	No. of Shares	%
ANIL KUMAR GOEL	1091000	5.45
SEEMA GOEL	135000	0.67

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



**Avadh Sugar and Energy Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholder	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								Class eg: X	No of Voting Rights				Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X); As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Not

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.





## Avadh Sugar and Energy Limited

Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Chandra Shekhar Nopany	AB.PN5385K	India	Chandra Shekhar Nopany	ABJPN5385K	India	71844	0.36	08-02-2019
2	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	India	500200	2.50	08-02-2019
3	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	AAUTS8386D	India	200	0.20	08-02-2019
4	Chandra Shekhar Nopany #	AB.PN5385K	India	Ranson Traders Limited	AABCR3999R	India			08-02-2019
5	Chandra Shekhar Nopany #	ABJPN5385K	India	Palash Securities Limited	AAICP0502H	India			08-02-2019
6	Nandini Nopany	ABMPN7831R	India	Nandini Nopany	ABMPN7831R	India	99204	0.50	08-02-2019
7	Nandini Nopany #	ABMPN7831R	India	Ranson Traders Limited	AABCR3999R	India			08-02-2019

Note - # through significant influence

