

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Avadh Sugar and Energy Limited
2	Scrip Code/Name of Scrip/Class of Security: 540649
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending 31st December, 2020
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

		Promoter & Promoter Group	Public	Non Promoter Non Public
	Particulars	Yes/No	Yes/No	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
4	Whether the Listed Entity has any shares in locked-in?	No	No	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	NA	NA
6	Whether the Listed Entity has issued any Differential Voting Rights?			

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Avadh Sugar and Energy Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
(B)	Public	19491	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7771151
(C)	Non Promoter - Non Public				0				0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	19518	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	100.0000	0	0.0000	2879682	14.3851	19852823

Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)			Number of Voting Rights held in each class of securities			No. of Shares Underlying % assuming full conversion of convertible securities (including securities)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								(VII) As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	Outstandi ng convertible securities		No. (a)	As a % of total Shares held(b)	No. (a)	As a % of Shares held(b)	
									Class eg: X	Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(vii)-(x) As a % of (A+B+C2)	(xi)	(xii)	(xiii)	(xiv)			
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	181030	0	0	181030	0.9043	181030	0	181030	0.9043	0	0.9043	0	0.0000	0	0.0000	181030
	(i) Nandini Nopany	ABMPN7831R	1	99204	0	0	99204	0.4956	99204	0	99204	0.4956	0	0.4956	0	0.0000	0	0.0000	99204
	(ii) Chandra Shekhar Nopany	ABJPN5385K	1	71844	0	0	71844	0.3589	71844	0	71844	0.3589	0	0.3589	0	0.0000	0	0.0000	71844
	(iii) Urvi Nopany	AJUPN1989J	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0.0499	0	0.0000	0	0.0000	9982
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		24	11900642	0	0	11900642	59.4485	11900642	0	11900642	59.4485	0	59.4485	0	0.0000	2879682	24.1977	11900642
(i)	Promoter Trust		2	500400	0	0	500400	2.4997	500400	0	500400	2.4997	0	0.0000	0	0.0000	0	0.0000	500400
	(i) Chandra Shekhar Nopany	AAUTS8392K	1	500200	0	0	500200	2.4987	500200	0	500200	2.4987	0	2.4987	0	0.0000	0	0.0000	500200
	(ii) Chandra Shekhar Nopany	AAUTS8386D	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0010	0	0.0000	0	0.0000	200
(ii)	Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	56.9488	0	0.0000	2879682	25.2598	11400242
	(i) New India Retailing And Investment Ltd	AAACN9970F	1	1945304	0	0	1945304	9.7176	1945304	0	1945304	9.7176	0	9.7176	0	0.0000	1234686	63.4701	1945304
	(ii) Scm Investment & Trading Co. Ltd.	AAECS1569D	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	7.4925	0	0.0000	0	0.0000	1499890
	(iii) Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	7.3863	0	0.0000	0	0.0000	1478624
	(iv) Rtm Investment And Trading Co. Ltd.	AAACR3995D	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	6.7198	0	0.0000	1042740	77.5161	1345192
	(v) Sil Investments Limited	AAACS2899H	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	5.6672	0	0.0000	0	0.0000	1134480
	(vi) Yashwardhan Investment & Trading Co. Ltd.	AAACY1135F	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	3.8134	0	0.0000	0	0.0000	763384
	(vii) Deepshikha Trading Co Pvt Ltd	AAABCD0344C	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	3.3185	0	0.0000	204480	30.7808	664310
	(viii) Ronson Traders Limited	AAABCR3999R	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	2.7909	0	0.0000	131110	23.4673	558692
	(ix) Hargaoan Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	2.4103	0	0.0000	0	0.0000	482510
	(x) Sidh Enterprises Ltd.	AAECS1577M	1	429996	0	0	429996	2.1480	429996	0	429996	2.1480	0	2.1480	0	0.0000	0	0.0000	429996
	(xi) Sonali Commercial Ltd.	AAECS1576L	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	1.4891	0	0.0000	266666	89.4552	298100
	(xii) Champaran Marketing Co. Ltd.	AAABCC1958M	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0.9555	0	0.0000	0	0.0000	191284
	(xiii) Osm Investment & Trading Co. Ltd.	AAACO3312L	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0.8605	0	0.0000	0	0.0000	172254
	(xiv) Uttam Commercial Ltd.	AAACU3603N	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0.6853	0	0.0000	0	0.0000	137190
	(xv) Nilgiri Plantations Limited	AAACN9968M	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0.5055	0	0.0000	0	0.0000	101200
	(xvi) Narkatiaganj Farms Limited	AAACN8163H	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0.3727	0	0.0000	0	0.0000	74610
	(xvii) Rajpur Farms Limited	AAACCR5726K	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0.3328	0	0.0000	0	0.0000	66618
	(xviii) Shree Vihar Properties Ltd	AAECS1578E	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0.1155	0	0.0000	0	0.0000	23126
	(xix) La Monde Trading & Investments Private Ltd	AAACL6886G	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0.0922	0	0.0000	0	0.0000	18466
	(xx) Palash Securities Limited	AAICP0502H	1	13614	0	0	13614	0.0680	13614	0	13614	0.0680	0	0.0680	0	0.0000	0	0.0000	13614
	(xxi) Pavapuri Trading And Investment Company Ltd.	AAABCP7400R	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0.0042	0	0.0000	0	0.0000	848
	(xxii) The Oudh Trading Co. (P) Ltd.	AAABCT0812H	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0027	0	0.0000	0	0.0000	550
	Sub Total (A)1		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A) = (A)1+(A)2		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672

Avadh Sugar and Energy Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares	No. of shares underlying	Total nos. shares held	Shareholding % calculated as per	Number of Voting Rights held in each class			No. of Shares Underlying	Shareholding, as a % assuming	Number of Locked in		Number of Shares		Number of equity shares held in dematerialise	
									No of Voting Rights Class eg: X	Class eg: y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total Shares	No. (a)		As a % of total Shares
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		6	2070	0	0	2070	0.0103	2070	0	2070	0.0103	0	0.0103	0	0.0000	NA	NA	1548
(g)	Insurance Companies		3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.2983	0	0.0000	NA	NA	59705
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		13	63897	0	0	63897	0.3192	63897	0	63897	0.3192	0	0.3192	0	0.0000	NA	NA	61253
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		18231	4007012	0	0	4007012	20.0166	4007012	0	4007012	20.0166	0	20.0166	0	0.0000	NA	NA	3861973
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		18	1831172	0	0	1831172	9.1474	1831172	0	1831172	9.1474	0	9.1474	0	0.0000	NA	NA	1831172
	(i) Anil Kumar Goel	AAJPG2552Q		1091000	0	0	1091000	5.4500	1091000	0	1091000	5.4500	0	5.4500	0	0.0000	NA	NA	1091000
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Any Other (Specify)		1229	2034667	0	0	2034667	10.1640	2034667	0	2034667	10.1640	0	10.1640	0	0.0000	NA	NA	2016753
(i)	Societies		1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	0.0000	NA	NA	550388
	Navjeevan Medical Institute	AAATN2102N	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	0.0000	NA	NA	550388
(ii)	Trusts		1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0016	0	0.0000	NA	NA	312
(iii)	Hindu Undivided Family		616	424828	0	0	424828	2.1222	424828	0	424828	2.1222	0	2.1222	0	0.0000	NA	NA	424826
(iv)	Non Resident Indians (Non Repat)		92	25632	0	0	25632	0.1280	25632	0	25632	0.1280	0	0.1280	0	0.0000	NA	NA	25632
(v)	Non Resident Indians (Repat)		169	120915	0	0	120915	0.6040	120915	0	120915	0.6040	0	0.6040	0	0.0000	NA	NA	120911
(vi)	Clearing Member		84	73810	0	0	73810	0.3687	73810	0	73810	0.3687	0	0.3687	0	0.0000	NA	NA	73808
(vii)	Bodies Corporate		266	838782	0	0	838782	4.1900	838782	0	838782	4.1900	0	4.1900	0	0.0000	NA	NA	820876
	The Hindustan Times Limited	AAACT4962F	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	1.0711	0	0.0000	NA	NA	214412
	Sub Total (B)(3)		19478	7872851	0	0	7872851	39.3280	7872851	0	7872851	39.3280	0	39.3280	0	0.0000	NA	NA	779898
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		19491	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7771151

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Name of Shareholders	No of Shares	%
Anil Kumar Goel & Seema Goel	1226000	6.12%

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities	Shareholding, as a % assuming full conversion of convertible securities (X1) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)	(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0