

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited				
2.	Scrip Code/Name of Scrip/Class of Security: 540649(BSE) AVADHSUGAR(NSE)				
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c) <table border="1" style="margin-left: 20px;"> <tr> <td>a.</td> <td>If under 31(1)(b) then indicate the report for Quarter ending 30th June 2019</td> </tr> <tr> <td>b.</td> <td>If under 31(1)(c) then indicate date of allotment/extinguishment</td> </tr> </table>	a.	If under 31(1)(b) then indicate the report for Quarter ending 30th June 2019	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
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b.	If under 31(1)(c) then indicate date of allotment/extinguishment				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:				

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledged or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholder shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
								Class eg: X	Class eg: Y	Total (A+B+C)			As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	27	6040836	0	0	6040836	60.3528	6040836	0	60.3528	0.0000	1439841	23,835,100	6040836			
(B)	Public	16424	3968374	0	0	3968374	39.6472	3968374	0	39.6472	0.0000	NA	NA	3881326			
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0.0000	NA	NA	0			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0.0000	NA	NA	0			
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0.0000	NA	NA	0			
	Total	16451	10009210	0	0	10009210	100.0000	10009210	0	100.0000	0.0000	1439841	14,385,100	9922162			



Avadh Sugar and Energy Limited

Table II - Statement showing Shareholding pattern of the Promoter and Promoter Group

1	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (vi)-(v)+ (vii)	Shareholding % of (vii)-(v)+ (vii) as a % of (A+B+C)	(ix)			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % of conversion of convertible securities (as a percentage of diluted share capital) (X) = (vii)-(X)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in demat form	
									No. of Voting Rights held in each class of securities	Total as a % of (A+B+C)	Total as a % of (vii)-(v)+ (vii) as a % of (A+B+C)						
(a)	Individuals / Hindu Undivided Family																
(b)	Financial Institutions / Banks																
(c)	Financial Institutions / State Government(s)																
(d)	Any Other (Specify)																
	Promoter Trust																
	Charanda Shekhar Nopany - Trustee Shanti Family Trust	AAUTS1836D	1	100	0	0	100	0.0010	100	0	0	0.0010	0	0.0000	0	0.0000	0
	Charanda Shekhar Nopany - Trustee Shekhar Family Trust	AAUTS193XK	22	100	0	0	100	0.0010	100	0	0	0.0010	0	0.0000	0	0.0000	0
	Bodhis Corporate	AAACN970F	1	97262	0	0	97262	9.7176	97262	0	0	9.7176	0	0.0000	0	0.0000	0
	New India Retailing And Investment Ltd	AAECS1460D	1	749945	0	0	749945	7.4925	749945	0	0	7.4925	0	0.0000	0	0.0000	0
	Sem Investment & Trading Co. Ltd.	AAECS169DZ	1	739312	0	0	739312	7.3863	739312	0	0	7.3863	0	0.0000	0	0.0000	0
	Uttar Pradesh Trading Co. Ltd	AAECS169DZ	1	672596	0	0	672596	6.7198	672596	0	0	6.7198	0	0.0000	0	0.0000	0
	Rim Investment And Trading Co. Ltd.	AAECS169DZ	1	567240	0	0	567240	5.6672	567240	0	0	5.6672	0	0.0000	0	0.0000	0
	Sil Investments Limited	AAECS169DZ	1	381692	0	0	381692	3.8134	381692	0	0	3.8134	0	0.0000	0	0.0000	0
	Kashorshan Investment & Trading Co. Ltd.	AAECS169DZ	1	279346	0	0	279346	2.7909	279346	0	0	2.7909	0	0.0000	0	0.0000	0
	Deshbika Trading Co Pvt Ltd	AAECS169DZ	1	241255	0	0	241255	2.4103	241255	0	0	2.4103	0	0.0000	0	0.0000	0
	Roshni Traders Limited	AAECS169DZ	1	214998	0	0	214998	2.1480	214998	0	0	2.1480	0	0.0000	0	0.0000	0
	Hiragan Investment & Trading Co. Ltd.	AAECS169DZ	1	149050	0	0	149050	1.4891	149050	0	0	1.4891	0	0.0000	0	0.0000	0
	Sodi Enterprises Ltd	AAECS169DZ	1	95642	0	0	95642	0.9555	95642	0	0	0.9555	0	0.0000	0	0.0000	0
	Shanti Commercial Ltd	AAECS169DZ	1	86517	0	0	86517	0.8653	86517	0	0	0.8653	0	0.0000	0	0.0000	0
	Champian Marketing Co. Ltd	AAECS169DZ	1	68595	0	0	68595	0.6859	68595	0	0	0.6859	0	0.0000	0	0.0000	0
	Om Investment & Trading Co. Ltd	AAECS169DZ	1	50600	0	0	50600	0.5055	50600	0	0	0.5055	0	0.0000	0	0.0000	0
	Uttam Commercial Ltd	AAECS169DZ	1	37305	0	0	37305	0.3727	37305	0	0	0.3727	0	0.0000	0	0.0000	0
	Nigri Parikhons Limited	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Marketing Farms Limited	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Rajpur Farms Limited	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Sri Veer Properties Ltd	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	La Monde Trading & Investments Private Ltd	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Palash Securities Limited	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Passport Trading And Investment Company Ltd.	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	The Club Trading Co (P) Ltd	AAECS169DZ	1	33209	0	0	33209	0.3328	33209	0	0	0.3328	0	0.0000	0	0.0000	0
	Sub Total (A)(1)	AAECS169DZ	27	6040836	0	0	6040836	60.3528	6040836	0	0	60.3528	0	0.0000	0	0.0000	0
	Foreign																
	Individuals (Non-Resident Individuals / Foreign Individuals)																
(a)	Government																
(b)	Institutions																
(c)	Foreign Portfolio Investor																
(d)	Any Other (Specify)																
(e)	Sub Total (A)(2)																
	Total Shareholding Of Promoter And Promoter Group (A)-(A)(1)+(A)(2)		27	6040836	0	0	6040836	60.3528	6040836	0	0	60.3528	0	0.0000	0	0.0000	0



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	No. of shares	No. of fully paid up equity shares	Partly paid-up equity shares	No. of Depositor Shares	Total nos. Depositor Shares	Shareholding % as per SCRR, 1957 As a % of (A+B+C)	Number of Voting Rights held in each class		Total as a % of (A+B+C)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (M) = (VII) + (X) As a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
								Class eg: X	Class eg: Y				No. (a)	As a % of total Shares held (b)			No. (a)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)				
1	Institutions																
(a)	Mutual Fund		1058	0	0	1058	0.0106	1058	0	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		20000	0	0	20000	0.1998	20000	0	0.1998	0	0.1998	0	0.0000	NA	NA	20000
(d)	Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		7958	0	0	7958	0.0795	7958	0	0.0795	0	0.0795	0	0.0000	NA	NA	7958
(f)	Financial Institutions / Banks		9861	0	0	9861	0.0985	9861	0	0.0985	0	0.0985	0	0.0000	NA	NA	9860
(g)	Insurance Companies		44188	0	0	44188	0.4415	44188	0	0.4415	0	0.4415	0	0.0000	NA	NA	44185
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		83065	0	0	83065	0.8299	83065	0	0.8299	0	0.8299	0	0.0000	NA	NA	81743
2	Central Government/ State Government(s)/ President of India																
	Sub Total (B)(2)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																
(a)	Individuals		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	I. Individual shareholders holding nominal share capital up to Rs. 2 Lakhs.		15155	1725405	0	1725405	17.2382	1725405	0	17.2382	0	17.2382	0	0.0000	NA	NA	1648984
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs.		14	1106080	0	1106080	11.0506	1106080	0	11.0506	0	11.0506	0	0.0000	NA	NA	1106080
	III. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs.		547000	547000	0	547000	5.4650	547000	0	5.4650	0	5.4650	0	0.0000	NA	NA	547000
(b)	NBFCs registered with RBI		1	56	0	56	0.0006	56	0	0.0006	0	0.0006	0	0.0000	NA	NA	56
	Trust Employee		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Overseas Depositor(holding DRs)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	(balancing figure)		0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		1228	1053768	0	1053768	10.5280	1053768	0	10.5280	0	10.5280	0	0.0000	NA	NA	1044463
	Trusts		156	156	0	156	0.0016	156	0	0.0016	0	0.0016	0	0.0000	NA	NA	156
	Societies		1	275194	0	275194	2.7494	275194	0	2.7494	0	2.7494	0	0.0000	NA	NA	275194
	Non-Resident Indians (Non-Repatriation)		1	275194	0	275194	2.7494	275194	0	2.7494	0	2.7494	0	0.0000	NA	NA	275194
	Foreign Nationals		7	247	0	247	0.0025	247	0	0.0025	0	0.0025	0	0.0000	NA	NA	247
	Hindu Undivided Family		543	130457	0	130457	1.3034	130457	0	1.3034	0	1.3034	0	0.0000	NA	NA	130456
	Non Resident Indians (Non-Repatriation)		84	13674	0	13674	0.1366	13674	0	0.1366	0	0.1366	0	0.0000	NA	NA	13674
	Non Resident Indians (Repatriation)		147	20388	0	20388	0.2037	20388	0	0.2037	0	0.2037	0	0.0000	NA	NA	20386
	Clearing Member		128	68881	0	68881	0.6882	68881	0	0.6882	0	0.6882	0	0.0000	NA	NA	68880
	Market Maker		1	186	0	186	0.0019	186	0	0.0019	0	0.0019	0	0.0000	NA	NA	186
	Bodies Corporate		316	544585	0	544585	5.4408	544585	0	5.4408	0	5.4408	0	0.0000	NA	NA	535531
	Entities Holding [Two] Private Limited		1	107206	0	107206	1.0711	107206	0	1.0711	0	1.0711	0	0.0000	NA	NA	107206
	Sub Total (B)(3)		16408	3885309	0	3885309	38.8173	3885309	0	38.8173	0	38.8173	0	0.0000	NA	NA	3799883
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		16424	3968374	0	3968374	39.6472	3968374	0	39.6472	0	39.6472	0	0.0000	NA	NA	3881326



Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of	No. of

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Avadh Sugar and Energy Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

1	Custodian/DR Holder	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class		No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
										Class eg: X	Class eg: Y			Total	Total as a % of (A+B+C)	(a)	(b)	
1	Custodian/DR Holder	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)								0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C) =								0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0

Note :
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

