

o/c

AVADH/SF/2019-20/57

October 21, 2019

The Manager
Listing Department
National Stock Exchange of India Limited
'Exchange Plaza'
C - 1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400051
SYMBOL - AVADHSUGAR

The Manager
Listing Department
BSE Ltd.
1st Floor, New Trading Ring
Rotunda Building
P.J. Towers
Dalal Street, Fort
Mumbai-400 001
STOCK CODE - 540649

The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Scrip Code - 11610

Dear Sirs,

Sub : Shareholding Pattern as on 30th September, 2019

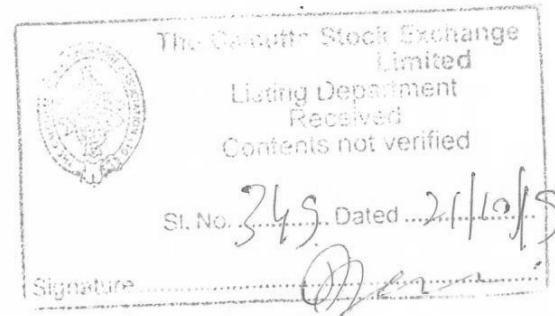
Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith the shareholding pattern as on 30th September, 2019 in the prescribed format.

Please acknowledge receipt.

Thanking you,

Yours faithfully,
For Avadh Sugar & Energy Limited


Anand Sharma
Company Secretary
FCS - 7305



u Encl - as above



K.K. BIRLA GROUP OF SUGAR COMPANIES

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001 • Email: birlasugar@birla-sugar.com

Phone: +91 33 2243 0497 / 8, 2248 7068, 3057 3700, 3041 0900 • Fax: +91 33 2248 6369

Regd Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649(BSE) AVADHSUGAR(NSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30TH SEPTEMBER 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares [calculated as per SCRR, 1957]	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights					Total as a % of (A+B+C)	As a % of total Shares held (b)	As a % of total Shares held (b)		
								Class eg: X	Class eg: y	Total						No. (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) = (VII)+(X) As	(XII)	(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	2879662	23835908	12081672	
(B)	Public	16972	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA	7764320	
(C)	Non Promoter - Non Public			0	0								0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Total	16999	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	0.0000	2879662	14385908	19845992	



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	FAN	Nos. of shares	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
1 Indian								(IX)			(X)	(XI) = (VII) + (X)	(XII)		(XIII)		(XIV)	
(a) Individuals / Hindu Undivided Family		3	681030	0	0	681030	3.4020	681030	0	681030	3.4020	0	0.0000	0	0.0000	0	0.0000	681030
Nandini Nopany	ABM PH7831R	1	599204	0	0	599204	2.9933	599204	0	599204	2.9933	0	0.0000	0	0.0000	0	0.0000	599204
Chandra Shekhar Nopany	ABJFN5385K	1	71844	0	0	71844	0.3589	71844	0	71844	0.3589	0	0.0000	0	0.0000	0	0.0000	71844
Kurvi Nopany	AJUPN1995I	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0.0000	0	0.0000	0	0.0000	9982
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)		24	11400642	0	0	11400642	56.9508	11400642	0	11400642	56.9508	0	0.0000	0	0.0000	0	0.0000	0
Promoter Trust		2	400	0	0	400	0.0020	400	0	400	0.0020	0	0.0000	0	0.0000	0	0.0000	400
Chandra Shekhar Nopany	AAUTS8386D	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0000	0	0.0000	0	0.0000	200
Chandra Shekhar Nopany	AAUTS8392X	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0000	0	0.0000	0	0.0000	200
Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	0.0000	0	0.0000	0	0.0000	0
New India Retailing And Investment Ltd	AAACPH9576F	1	1945304	0	0	1945304	9.7176	1945304	0	1945304	9.7176	0	0.0000	0	0.0000	0	0.0000	1945304
Scm Investment & Trading Co. Ltd.	AAECSC569DE	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	0.0000	0	0.0000	0	0.0000	1499890
Jttar Pradesh Trading Co. Ltd	AAACLU3603P	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	0.0000	0	0.0000	0	0.0000	1478624
Rtm Investment And Trading Co. Ltd.	AAACR3995D	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	0.0000	0	0.0000	0	0.0000	1345192
Sil Investments Limited	AAACCS2895H	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	0.0000	0	0.0000	0	0.0000	1134480
Yashwardhan Investment & Trading Co. Ltd.	AAACY1135F	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	0.0000	0	0.0000	0	0.0000	763384
Deepshikha Trading Co Pvt Ltd	AAABCD0344C	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	0.0000	0	0.0000	0	0.0000	664310
Ronson Traders Limited	AAABCR3999R	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	0.0000	0	0.0000	0	0.0000	558692
Harga Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	0.0000	0	0.0000	0	0.0000	482510
Sidh Enterprises Ltd.	AAACSL577M	1	429996	0	0	429996	2.1480	429996	0	429996	2.1480	0	0.0000	0	0.0000	0	0.0000	429996
Sonali Commercial Ltd.	AAACSL576L	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	0.0000	0	0.0000	0	0.0000	298100
Champaran Marketing Co. Ltd.	AAABCC1958M	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0.0000	0	0.0000	0	0.0000	191284
Osm Investment & Trading Co. Ltd.	AAACCB381J	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0.0000	0	0.0000	0	0.0000	172254
Uttam Commercial Ltd.	AAACL3603M	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0.0000	0	0.0000	0	0.0000	137190
Nilgiri Plantations Limited	AAACAG9966M	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0.0000	0	0.0000	0	0.0000	101200
Narkataganj Farms Limited	AAABCN8163H	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0.0000	0	0.0000	0	0.0000	74610
Rajpur Farms Limited	AAACCR5726K	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0.0000	0	0.0000	0	0.0000	66618
Shree Vihar Properties Ltd	AAACCS1528E	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0.0000	0	0.0000	0	0.0000	23126
La Monde Trading & Investments Private Ltd	AAACL6886G	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0.0000	0	0.0000	0	0.0000	18466
Palash Securities Limited	AAACPC502H	1	13614	0	0	13614	0.0680	13614	0	13614	0.0680	0	0.0000	0	0.0000	0	0.0000	13614
Pavapuri Trading And Investment Company Ltd.	AAABCP7460R	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0.0000	0	0.0000	0	0.0000	848
The Oudh Trading Co. (P) Ltd.	AAABCT8822H	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0000	0	0.0000	0	0.0000	550
Sub Total (A)(1)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	0	0.0000	0	0.0000	12081672
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	0	0.0000	0	0.0000	12081672



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (i.e. percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
1	Institutions																	
(a)	Mutual Fund		2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0000	NA	NA	0		
(b)	Venture Capital Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(c)	Alternate investment Funds		40000	0	0	40000	0.1998	40000	0	40000	0.1998	0	0.0000	NA	NA	40000		
(d)	Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(e)	Foreign Portfolio Investor		9569	0	0	9569	0.0478	9569	0	9569	0.0478	0	0.0000	NA	NA	9569		
(f)	Financial Institutions / Banks		10130	0	0	10130	0.0506	10130	0	10130	0.0506	0	0.0000	NA	NA	9608		
(g)	Insurance Companies		59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.0000	NA	NA	59705		
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(i)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Sub Total (B)(1)		16	0	0	121526	0.6071	121526	0	121526	0.6071	0	0.0000	NA	NA	118882		
	Central Government/State Government(s)/ President of India		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Sub Total (B)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
3	Non-Institutions																	
(a)	Individuals		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		45639	0	0	3392193	16.9454	3392193	0	3392193	16.9454	0	0.0000	NA	NA	3240817		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		23	0	0	2290139	11.4402	2290139	0	2290139	11.4402	0	0.0000	NA	NA	2290139		
	Anil Kumar Goei	AAJPG2552Q	1	0	0	1118326	5.5855	1118326	0	1118326	5.5855	0	0.0000	NA	NA	1118326		
(b)	NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Trust Employee		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(d)	Any Other (Specify)		1294	0	0	2132890	10.6546	2132890	0	2132890	10.6546	0	0.0000	NA	NA	2114882		
(e)	Trusts		1	0	0	312	0.0016	312	0	312	0.0016	0	0.0000	NA	NA	312		
	Societies		1	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388		
	Navjeevan Medical Institute	AAATN2102N	1	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388		
	Foreign Nationals		7	0	0	494	0.0025	494	0	494	0.0025	0	0.0000	NA	NA	0		
	Hindu Undivided Family		580	0	0	300411	1.5007	300411	0	300411	1.5007	0	0.0000	NA	NA	300409		
	Non Resident Indians (Non Repat)		82	0	0	23994	0.1199	23994	0	23994	0.1199	0	0.0000	NA	NA	23994		
	Non Resident Indians (Repat)		154	0	0	41832	0.2090	41832	0	41832	0.2090	0	0.0000	NA	NA	41828		
	Clearing Member		150	0	0	108539	0.5422	108539	0	108539	0.5422	0	0.0000	NA	NA	108537		
	Bodies Corporate		319	0	0	1106920	5.5295	1106920	0	1106920	5.5295	0	0.0000	NA	NA	1089614		
	Earthstone Holding (Two) Private Limited	AACCE1490K	1	0	0	214412	1.0711	214412	0	214412	1.0711	0	0.0000	NA	NA	214412		
	Sub Total (B)(3)		16956	0	0	7815222	39.0402	7815222	0	7815222	39.0402	0	0.0000	NA	NA	7645438		
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		16972	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA	7764520		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remains unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
	(I)		(II)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)	(X)	(XI) = (VII)+(X)	(XII)	(XII)	(XIV)					
1	Custodian/DR Holder		1	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
	Total Non-Promoter- Non Public Shareholding (C)= C(1)+C(2)		1	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

