

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 540649 (BSE) AVADHSUGAR(NSE)	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 31st DECEMBER 2019
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (VIII) As a %	(IX)			(X)	(XI) = (VII)+(X) As	(XII)		(XIII)		(XIV)		
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.835100	12081672
(B)	Public	17316	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7768939
(C)	Non Promoter - Non Public				0										0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	17343	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	100.0000	0	0.0000	2879682	14.385100	19850611



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

1	Category & Name of the shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) As a	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)+(x) As	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
	Indian																		
(a)	Individuals / Hindu Undivided Family																		
	Nandini Nopany		3	181030	0	0	181030	0.9043	181030	0	0	0	0.9043	0	0.0000	0	0.0000	181030	
	Chandra Shekhar Nopany	ABMPN7831R	1	99204	0	0	99204	0.4956	99204	0	0	0	0.4956	0	0.0000	0	0.0000	99204	
	Urvvi Nopany	ABIPN5385K	1	71844	0	0	71844	0.3589	71844	0	0	0	0.3589	0	0.0000	0	0.0000	71844	
(b)	Central Government / State Government(s)	AJUPN1989J	1	9982	0	0	9982	0.0499	9982	0	0	0	0.0499	0	0.0000	0	0.0000	9982	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Promoter Trust		24	11900642	0	0	11900642	59.4485	11900642	0	0	0	59.4485	0	0.0000	0	0.0000	0	
	Chandra Shekhar Nopany - Shekhar Family Trust	AAUTS8392K	1	500400	0	0	500400	2.4997	500400	0	0	0	2.4997	0	0.0000	2879682	24.1977	11900642	
	Chandra Shekhar Nopany - Shruti Family Trust	AAUTS8386D	1	500200	0	0	500200	2.4987	500200	0	0	0	2.4987	0	0.0000	0	0.0000	500400	
	Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	0	0	56.9488	0	0.0000	0	0.0000	500200	
	New India Retailing And Investment Ltd	AAACN9970F	1	1945304	0	0	1945304	9.7176	1945304	0	0	0	9.7176	0	0.0000	2879682	25.2598	11400242	
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	1499890	0	0	1499890	7.4925	1499890	0	0	0	7.4925	0	0.0000	1234686	63.4701	1945304	
	Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	1478624	0	0	1478624	7.3863	1478624	0	0	0	7.3863	0	0.0000	0	0.0000	1499890	
	Rtm Investment And Trading Co. Ltd.	AABCR3995D	1	1345192	0	0	1345192	6.7198	1345192	0	0	0	6.7198	0	0.0000	0	0.0000	1478624	
	Sil Investments Limited	AABCS2899H	1	1134480	0	0	1134480	5.6672	1134480	0	0	0	5.6672	0	0.0000	1042740	77.5161	1345192	
	Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	763384	0	0	763384	3.8134	763384	0	0	0	3.8134	0	0.0000	0	0.0000	1134480	
	Deepshikha Trading Co Pvt Ltd	AABCD0344C	1	664310	0	0	664310	3.3185	664310	0	0	0	3.3185	0	0.0000	0	0.0000	763384	
	Ronson Traders Limited	AABCR3999R	1	558692	0	0	558692	2.7909	558692	0	0	0	2.7909	0	0.0000	204480	30.7808	664310	
	Hargaon Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	0	0	2.4103	0	0.0000	131110	23.4673	558692	
	Sidh Enterprises Ltd.	AAECS1577M	1	429996	0	0	429996	2.1480	429996	0	0	0	2.1480	0	0.0000	0	0.0000	482510	
	Sonali Commercial Ltd.	AAECS1576L	1	298100	0	0	298100	1.4891	298100	0	0	0	1.4891	0	0.0000	266666	89.4552	298100	
	Champanan Marketing Co. Ltd.	AABCC1958M	1	191284	0	0	191284	0.9555	191284	0	0	0	0.9555	0	0.0000	0	0.0000	191284	
	Osm Investment & Trading Co. Ltd.	AAACO3312L	1	172254	0	0	172254	0.8605	172254	0	0	0	0.8605	0	0.0000	0	0.0000	191284	
	Uttam Commercial Ltd.	AAACU3603N	1	137190	0	0	137190	0.6853	137190	0	0	0	0.6853	0	0.0000	0	0.0000	172254	
	Nilgiri Plantations Limited	AAACN9968M	1	101200	0	0	101200	0.5055	101200	0	0	0	0.5055	0	0.0000	0	0.0000	137190	
	Narkataganj Farms Limited	AABCN8163H	1	74610	0	0	74610	0.3727	74610	0	0	0	0.3727	0	0.0000	0	0.0000	101200	
	Raipur Farms Limited	AAACF5276K	1	66618	0	0	66618	0.3328	66618	0	0	0	0.3328	0	0.0000	0	0.0000	74610	
	Shree Vihar Properties Ltd	AAECS1578E	1	23126	0	0	23126	0.1155	23126	0	0	0	0.1155	0	0.0000	0	0.0000	66618	
	La Monde Trading & Investments Private Ltd	AAACL6886G	1	18466	0	0	18466	0.0922	18466	0	0	0	0.0922	0	0.0000	0	0.0000	23126	
	Palash Securities Limited	AAICP0502H	1	13614	0	0	13614	0.0680	13614	0	0	0	0.0680	0	0.0000	0	0.0000	18466	
	Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	848	0	0	848	0.0042	848	0	0	0	0.0042	0	0.0000	0	0.0000	13614	
	The Oudh Trading Co. (P) Ltd	AABCT0812H	1	550	0	0	550	0.0027	550	0	0	0	0.0027	0	0.0000	0	0.0000	848	
	Sub Total (A)(1)		27	12081672	0	0	12081672	60.3528	12081672	0	0	0	60.3528	0	0.0000	2879682	23.8351	12081672	
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		27	12081672	0	0	12081672	60.3528	12081672	0	0	0	60.3528	0	0.0000	2879682	23.8351	12081672	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y	Total								Total as a % of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund		4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0000	NA	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Alternate Investment Funds		1	40000	0	0	40000	0.1998	40000	0	40000	0.1998	0	0.0000	NA	NA	40000	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f)	Financial Institutions / Banks		7	33211	0	0	33211	0.1659	33211	0	33211	0.1659	0	0.0000	NA	NA	32689	
(g)	Insurance Companies		3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.0000	NA	NA	59705	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Sub Total (B)(1)		15	135038	0	0	135038	0.6746	135038	0	135038	0.6746	0	0.0000	NA	NA	132394	
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
3	Non-Institutions																	
(a)	Individuals			0	0										NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15978	3447772	0	0	3447772	17.2230	3447772	0	3447772	17.2230	0	0.0000	NA	NA	3301015	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		23	2093102	0	0	2093102	10.4559	2093102	0	2093102	10.4559	0	0.0000	NA	NA	2093102	
	Anil Kumar Goel	AAJPG2552Q		1135000	0	0	1135000	5.6698	1135000	0	1135000	5.6698	0	0.0000	NA	NA	1135000	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Any Other (Specify)		1300	2260836	0	0	2260836	11.2938	2260836	0	2260836	11.2938	0	0.0000	NA	NA	2242428	
	Trusts		1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0000	NA	NA	312	
	Societies		1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388	
	Nayjeewan Medical Institute	AAATN2102N	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388	
	Foreign Nationals		7	494	0	0	494	0.0025	494	0	494	0.0025	0	0.0000	NA	NA	0	
	Hindu Undivided Family		596	291137	0	0	291137	1.4543	291137	0	291137	1.4543	0	0.0000	NA	NA	291135	
	Non Resident Indians (Non Repat)		88	24838	0	0	24838	0.1241	24838	0	24838	0.1241	0	0.0000	NA	NA	24838	
	Non Resident Indians (Repat)		149	40830	0	0	40830	0.2040	40830	0	40830	0.2040	0	0.0000	NA	NA	40826	
	Clearing Member		123	97286	0	0	97286	0.4860	97286	0	97286	0.4860	0	0.0000	NA	NA	97284	
	Market Maker		1	372	0	0	372	0.0019	372	0	372	0.0019	0	0.0000	NA	NA	372	
	Bodies Corporate		334	1255179	0	0	1255179	6.2701	1255179	0	1255179	6.2701	0	0.0000	NA	NA	1237273	
	Earthstone Holding (Two) Private Limited	AACCE1490K	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	0.0000	NA	NA	214412	
	Sub Total (B)(3)		17301	7801710	0	0	7801710	38.9727	7801710	0	7801710	38.9727	0	0.0000	NA	NA	7636545	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		17316	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA	7768939	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
ANIL KUMAR GOEL	1135000	5.67
SEEEMA GOEL	1200000	0.60

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a % of (A+B+C))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI) =	(XII)	(XIII)	(XIV)			
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



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Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany	ABJPN5385K	India	71844	0.36	08-02-2019
2	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	India	500200	2.50	08-02-2019
3	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	AAUTS8386D	India	200	0.00	08-02-2019
4	Nandini Nopany	ABMPN7831R	India	Nandini Nopany	ABMPN7831R	India	99204	0.50	08-02-2019
5	Chandra Shekhar Nopany #	ABJPN5385K	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019
6	Nandini Nopany #	ABMPN7831R	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019
7	Chandra Shekhar Nopany #	ABJPN5385K	India	Palash Securities Limited	AAICP0502H	India			08-02-2019

Note - # through significant influence

