

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Avadh Sugar and Energy Limited			
2	Scrip Code/Name of Scrip/Class of Security: 540649			
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending - 31st March 2020		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

Avadh Sugar and Energy Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
(B)	Public	18810	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7769804
(C)	Non Promoter - Non Public					0	0.0000		0		0.0000		0.0000		0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	18837	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	100.0000	0	0.0000	2879682	14.3851	19851476

Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (X1)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)		(XIV)		
1	Indian																	
	Individuals / Hindu Undivided Family	3	181030	0	0	181030	0.9043	181030	0	181030	0.9043	0	0.9043	0	0.0000	0	0.0000	181030
(a)	Nandini Nopany	1	99204	0	0	99204	0.4956	99204	0	99204	0.4956	0	0.4956	0	0.0000	0	0.0000	99204
	Chandra Shekhar Nopany	1	71844	0	0	71844	0.3589	71844	0	71844	0.3589	0	0.3589	0	0.0000	0	0.0000	71844
	Urvi Nopany	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0.0499	0	0.0000	0	0.0000	9982
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)	24	11900642	0	0	11900642	59.4485	11900642	0	11900642	59.4485	0	59.4485	0	0.0000	2879682	24.1977	11900642
	Promoter Trust	2	500400	0	0	500400	2.4997	500400	0	500400	2.4997	0	2.4997	0	0.0000	0	0.0000	500400
	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	1	500200	0	0	500200	2.4987	500200	0	500200	2.4987	0	2.4987	0	0.0000	0	0.0000	500200
	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0010	0	0.0000	0	0.0000	200
	Bodies Corporate	22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	56.9488	0	0.0000	2879682	25.2598	11400242
	New India Retailing And Investment Ltd	1	1945304	0	0	1945304	9.7176	1945304	0	1945304	9.7176	0	9.7176	0	0.0000	1234686	63.4701	1945304
	Scm Investment & Trading Co. Ltd.	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	7.4925	0	0.0000	0	0.0000	1499890
	Uttar Pradesh Trading Co. Ltd	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	7.3863	0	0.0000	0	0.0000	1478624
	Rtm Investment And Trading Co. Ltd.	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	6.7198	0	0.0000	1042740	77.5161	1345192
	Sil Investments Limited	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	5.6672	0	0.0000	0	0.0000	1134480
	Yashovardhan Investment & Trading Co. Ltd.	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	3.8134	0	0.0000	0	0.0000	763384
	Deepshikha Trading Co Pvt Ltd	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	3.3185	0	0.0000	204480	30.7808	664310
	Ronson Traders Limited	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	2.7909	0	0.0000	131110	23.4673	558692
	Hargaon Investment & Trading Co. Ltd.	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	2.4103	0	0.0000	0	0.0000	482510
	Sidh Enterprises Ltd.	1	429996	0	0	429996	2.1480	429996	0	429996	2.1480	0	2.1480	0	0.0000	0	0.0000	429996
	Sonali Commercial Ltd.	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	1.4891	0	0.0000	266666	89.4552	298100
	Champaran Marketing Co. Ltd.	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0.9555	0	0.0000	0	0.0000	191284
	Osm Investment & Trading Co. Ltd.	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0.8605	0	0.0000	0	0.0000	172254
	Uttam Commercial Ltd.	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0.6853	0	0.0000	0	0.0000	137190
	Nilgiri Plantations Limited	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0.5055	0	0.0000	0	0.0000	101200
	Narkatiaganj Farms Limited	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0.3727	0	0.0000	0	0.0000	74610
	Rajpur Farms Limited	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0.3328	0	0.0000	0	0.0000	66618
	Shree Vihar Properties Ltd	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0.1155	0	0.0000	0	0.0000	23126
	La Monde Trading & Investments Private Ltd	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0.0922	0	0.0000	0	0.0000	18466
	Palash Securities Limited	1	13614	0	0	13614	0.0680	13614	0	13614	0.0680	0	0.0680	0	0.0000	0	0.0000	13614
	Pavapuri Trading And Investment Company Ltd.	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0.0042	0	0.0000	0	0.0000	848
	The Oudh Trading Co. (P) Ltd.	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0027	0	0.0000	0	0.0000	550
	Sub Total (A)(1)	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3528	0	0.0000	2879682	23.8351	12081672

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XII)	(XIII)		(XIV)		
1	Institutions																
(a)	Mutual Fund	4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0000	NA	NA		0
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(e)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(f)	Financial Institutions / Banks	7	32346	0	0	32346	0.1616	32346	0	32346	0.1616	0	0.0000	NA	NA		31824
(g)	Insurance Companies	3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.0000	NA	NA		59705
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(i)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
	Sub Total (B)(1)	14	94173	0	0	94173	0.4704	94173	0	94173	0.4704	0	0.0000	NA	NA		91529
2	Central Government/ State Government(s)/ President of India																
	Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
3	Non-Institutions																
(a)	Individuals		0	0										NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	17444	3871846	0	0	3871846	19.3414	3871846	0	3871846	19.3414	0	0.0000	NA	NA		3725954
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	1810044	0	0	1810044	9.0419	1810044	0	1810044	9.0419	0	0.0000	NA	NA		1810044
	Anil Kumar Goel		1075611	0	0	1075611	5.3731	1075611	0	1075611	5.3731	0	0.0000	NA	NA		1075611
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0
(e)	Any Other (Specify)	1335	2160685	0	0	2160685	10.7935	2160685	0	2160685	10.7935	0	0.0000	NA	NA		2142277
	Societies	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA		550388
	Navjeevan Medical Institute	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA		550388
	Trusts	1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0000	NA	NA		312
	Foreign Nationals	7	494	0	0	494	0.0025	494	0	494	0.0025	0	0.0000	NA	NA		0
	Hindu Undivided Family	650	365409	0	0	365409	1.8254	365409	0	365409	1.8254	0	0.0000	NA	NA		365407
	Non Resident Indians (Non Repat)	92	26083	0	0	26083	0.1303	26083	0	26083	0.1303	0	0.0000	NA	NA		26083
	Non Resident Indians (Repat)	166	89139	0	0	89139	0.4453	89139	0	89139	0.4453	0	0.0000	NA	NA		89135
	Clearing Member	84	54710	0	0	54710	0.2733	54710	0	54710	0.2733	0	0.0000	NA	NA		54708
	Market Maker	1	372	0	0	372	0.0019	372	0	372	0.0019	0	0.0000	NA	NA		372
	Bodies Corporate	333	1073778	0	0	1073778	5.3640	1073778	0	1073778	5.3640	0	0.0000	NA	NA		1055872
	Earthstone Holding (Two) Private Limited	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	0.0000	NA	NA		214412
	Sub Total (B)(3)	18796	7842575	0	0	7842575	39.1768	7842575	0	7842575	39.1768	0	0.0000	NA	NA		7678275
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	18810	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA		7769804

No. of shareholders	%
ANIL KUMAR GOEL	5.37
SEEEMA GOEL	0.67

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XIII)		(XIV)			
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

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Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Particulars of the shares in which significant		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany	ABJPN5385K	India	71844	0.36	08-02-2019
2	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	India	500200	2.50	08-02-2019
3	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	AAUTS8386D	India	200	0.00	08-02-2019
4	Chandra Shekhar Nopany #	ABJPN5385K	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019
5	Chandra Shekhar Nopany #	ABJPN5385K	India	Palash Securities Limited	AAICP0502H	India			08-02-2019
6	Nandini Nopany	ABMPN7831R	India	Nandini Nopany	ABMPN7831R	India	99204	0.50	08-02-2019
7	Nandini Nopany #	ABMPN7831R	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019

Note - # through significant influence