

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649(BSE) AVADHSUGAR(NSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30th September 2018
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

		Promoter & Promoter Group	Publish	Non Promoter Non Public
	Particulars	Yes/No	Yes/No	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?			No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?			No
3	Whether the Listed Entity has any shares against which depository receipts are issued?			No
4	Whether the Listed Entity has any shares in locked-in?			No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes		

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) =	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	0.0000	1439841	23.854800	6035836		
(B)	Public	17416	3973374	0	0	3973374	39.6972	3973374	0	3973374	39.6972	0	0.0000	NA	NA	3881086		
(C)	Non Promoter - Non Public			0	0				0			0	0.0000	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total	17443	10009210	0	0	10009210	100.0000	10009210	0	10009210	100.0000	0	0.0000	1439841	14.385100	9916922		



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities (XII)=	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)	(XII)	(XIII)		(XIV)			
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	335515	0	0	335515	3.3521	335515	0	335515	3.3521	0	3.3521	0	0.0000	0	0.0000	335515
	Nandini Nopany	ABMPN7831R	1	299602	0	0	299602	2.9933	299602	0	299602	2.9933	0	2.9933	0	0.0000	0	0.0000	299602
	Chandra Shekhar Nopany	ABJPN5385K	1	30922	0	0	30922	0.3089	30922	0	30922	0.3089	0	0.3089	0	0.0000	0	0.0000	30922
	Urvi Nopany	AJUPN1989J	1	4991	0	0	4991	0.0499	4991	0	4991	0.0499	0	0.0499	0	0.0000	0	0.0000	4991
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		24	5700321	0	0	5700321	56.9508	5700321	0	5700321	56.9508	0	56.9508	0	0.0000	1439841	25.2589	5700321
	Promoter Trust		2	200	0	0	200	0.0020	200	0	200	0.0020	0	0.0020	0	0.0000	0	0.0000	200
	Chandra Shekhar Nopany - Trustee Shruti Family Trust	AAUTS8386D	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0010	0	0.0000	0	0.0000	100
	Chandra Shekhar Nopany - Trustee Shekhar Family Trust	AAUTS8392K	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0010	0	0.0000	0	0.0000	100
	Bodies Corporate		22	5700121	0	0	5700121	56.9488	5700121	0	5700121	56.9488	0	56.9488	0	0.0000	1439841	25.2598	5700121
	New India Retailing And Investment Ltd	AAACN9970F	1	972652	0	0	972652	9.7176	972652	0	972652	9.7176	0	9.7176	0	0.0000	617343	63.4701	972652
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	749945	0	0	749945	7.4925	749945	0	749945	7.4925	0	7.4925	0	0.0000	0	0.0000	749945
	Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	739312	0	0	739312	7.3863	739312	0	739312	7.3863	0	7.3863	0	0.0000	0	0.0000	739312
	Rtm Investment And Trading Co. Ltd.	AABCR3995D	1	672596	0	0	672596	6.7198	672596	0	672596	6.7198	0	6.7198	0	0.0000	521370	77.5161	672596
	Sil Investments Limited	AAACS2899H	1	567240	0	0	567240	5.6672	567240	0	567240	5.6672	0	5.6672	0	0.0000	0	0.0000	567240
	Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	381692	0	0	381692	3.8134	381692	0	381692	3.8134	0	3.8134	0	0.0000	0	0.0000	381692
	Deepshikha Trading Co Pvt Ltd	AABCD0344C	1	332155	0	0	332155	3.3185	332155	0	332155	3.3185	0	3.3185	0	0.0000	102240	30.7808	332155
	Ronson Traders Limited	AABCR3999R	1	279346	0	0	279346	2.7909	279346	0	279346	2.7909	0	2.7909	0	0.0000	65555	23.4673	279346
	Hargaon Investment & Tradind Co. Ltd.	AAAACH7326R	1	241255	0	0	241255	2.4103	241255	0	241255	2.4103	0	2.4103	0	0.0000	0	0.0000	241255
	Sidh Enterprises Ltd.	AAECS1577M	1	214998	0	0	214998	2.1480	214998	0	214998	2.1480	0	2.1480	0	0.0000	0	0.0000	214998
	Sonali Commercial Ltd.	AAECS1576L	1	149050	0	0	149050	1.4891	149050	0	149050	1.4891	0	1.4891	0	0.0000	133333	89.4552	149050
	Champaran Marketing Co. Ltd.	AABCC1958M	1	95642	0	0	95642	0.9555	95642	0	95642	0.9555	0	0.9555	0	0.0000	0	0.0000	95642
	Osm Investment & Trading Co. Ltd.	AAACO3312L	1	86127	0	0	86127	0.8605	86127	0	86127	0.8605	0	0.8605	0	0.0000	0	0.0000	86127
	Uttam Commercial Ltd.	AAACU3603N	1	68595	0	0	68595	0.6853	68595	0	68595	0.6853	0	0.6853	0	0.0000	0	0.0000	68595
	Nilgiri Plantations Limited	AAACN9968M	1	50600	0	0	50600	0.5055	50600	0	50600	0.5055	0	0.5055	0	0.0000	0	0.0000	50600
	Narkatiaganj Farms Limited	AABCN8163H	1	37305	0	0	37305	0.3727	37305	0	37305	0.3727	0	0.3727	0	0.0000	0	0.0000	37305
	Rajpur Farms Limited	AACCR5726K	1	33309	0	0	33309	0.3328	33309	0	33309	0.3328	0	0.3328	0	0.0000	0	0.0000	33309
	Shree Vihar Properties Ltd	AAECS1578E	1	11563	0	0	11563	0.1155	11563	0	11563	0.1155	0	0.1155	0	0.0000	0	0.0000	11563
	La Monde Trading & Investments Private Ltd	AAACL6886G	1	9233	0	0	9233	0.0922	9233	0	9233	0.0922	0	0.0922	0	0.0000	0	0.0000	9233
	Palash Securities Limited	AAICP0502H	1	6807	0	0	6807	0.0680	6807	0	6807	0.0680	0	0.0680	0	0.0000	0	0.0000	6807
	Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	424	0	0	424	0.0042	424	0	424	0.0042	0	0.0042	0	0.0000	0	0.0000	424
	The Oudh Trading Co. (P) Ltd.	AABCT0812H	1	275	0	0	275	0.0027	275	0	275	0.0027	0	0.0027	0	0.0000	0	0.0000	275
	Sub Total (A)(1)		27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	60.3028	0	0.0000	1439841	23.8549	6035836



2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	60.3028	0	0.0000	1439841	23.8549	6035836		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a % of (A+B+C))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Institutions																		
(a)	Mutual Fund		4	1058	0	0	1058	0.0106	1058	0	1058	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		1	2324	0	0	2324	0.0232	2324	0	2324	0.0232	0	0.0232	0	0.0000	NA	NA	2324
(f)	Financial Institutions / Banks		7	11798	0	0	11798	0.1179	11798	0	11798	0.1179	0	0.1179	0	0.0000	NA	NA	11537
(g)	Insurance Companies		4	372775	0	0	372775	3.7243	372775	0	372775	3.7243	0	3.7243	0	0.0000	NA	NA	372772
	Life Insurance Corporation Of India	AAACL0582H	1	328587	0	0	328587	3.2828	328587	0	328587	3.2828	0	3.2828	0	0.0000	NA	NA	328587
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		16	387955	0	0	387955	3.8760	387955	0	387955	3.8760	0	3.8760	0	0.0000	NA	NA	386633
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15998	1827728	0	0	1827728	18.2605	1827728	0	1827728	18.2605	0	18.2605	0	0.0000	NA	NA	1746214
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	493509	0	0	493509	4.9305	493509	0	493509	4.9305	0	4.9305	0	0.0000	NA	NA	493509
	Anil Kumar Goel	AAJPG2552Q		340035	0	0	340035	3.3972	340035	0	340035	3.3972	0	3.3972	0	0.0000	NA	NA	340035
(b)	NBFCs registered with RBI		1	76	0	0	76	0.0008	76	0	76	0.0008	0	0.0008	0	0.0000	NA	NA	76
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		1396	1264106	0	0	1264106	12.6294	1264106	0	1264106	12.6294	0	12.6294	0	0.0000	NA	NA	1254654
	Societies		1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194
	Navjeevan Medical Institute	AAATN2102N	1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194
	Trusts		2	656	0	0	656	0.0066	656	0	656	0.0066	0	0.0066	0	0.0000	NA	NA	656
	Foreign Nationals		15	531	0	0	531	0.0053	531	0	531	0.0053	0	0.0053	0	0.0000	NA	NA	284
	Hindu Undivided Family		574	154900	0	0	154900	1.5476	154900	0	154900	1.5476	0	1.5476	0	0.0000	NA	NA	154899
	Non Resident Indians (Non Repat)		85	19428	0	0	19428	0.1941	19428	0	19428	0.1941	0	0.1941	0	0.0000	NA	NA	19428
	Non Resident Indians (Repat)		160	19996	0	0	19996	0.1998	19996	0	19996	0.1998	0	0.1998	0	0.0000	NA	NA	19994



Clearing Member		168	128784	0	0	128784	1.2867	128784	0	128784	1.2867	0	1.2867	0	0.0000	NA	NA	128783
Market Maker		1	186	0	0	186	0.0019	186	0	186	0.0019	0	0.0019	0	0.0000	NA	NA	186
Bodies Corporate		390	664431	0	0	664431	6.64	664431	0	664431	6.64	0	6.64	0	0.0000	NA	NA	655230
Earthstone Holding (Two) Private Limited	AACCE1490K	1	107206	0	0	107206	1.0711	107206	0	107206	1.0711	0	1.0711	0	0.0000	NA	NA	107206
Sub Total (B)(3)		17400	3585419	0	0	3585419	35.8212	3585419	0	3585419	35.8212	0	35.8212	0	0.0000	NA	NA	3494453
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		17416	3973374	0	0	3973374	39.6972	3973374	0	3973374	39.6972	0	39.6972	0	0.0000	NA	NA	3881086

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

