

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 540649(BSE) AVADHSUGAR(NSE)	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 31st December 2018
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

		Promoter & Promoter Group	Publish	Non Promoter Non Public
	Particulars	Yes/No	Yes/No	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?			No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?			No
3	Whether the Listed Entity has any shares against which depository receipts are issued?			No
4	Whether the Listed Entity has any shares in locked-in?			No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes		
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible				



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	60.3028	0	0.0000	1439841	23.854800	6035836
(B)	Public	17593	3973374	0	0	3973374	39.6972	3973374	0	3973374	39.6972	0	39.6972	0	0.0000	NA	NA	3883310
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	17620	10009210	0	0	10009210	100.0000	10009210	0	10009210	100.0000	0	100.0000	0	0.0000	1439841	14.385100	9919146



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Indian																		
(a) Individuals / Hindu Undivided Family		3	335515	0	0	335515	3.3521	335515	0	335515	3.3521	0	3.3521	0	0.0000	0	0.0000	335515
Nandini Nopany	ABMPN7831R	1	299602	0	0	299602	2.9933	299602	0	299602	2.9933	0	2.9933	0	0.0000	0	0.0000	299602
Chandra Shekhar Nopany	ABJPN5385K	1	30922	0	0	30922	0.3089	30922	0	30922	0.3089	0	0.3089	0	0.0000	0	0.0000	30922
Urvi Nopany	AJUPN1989J	1	4991	0	0	4991	0.0499	4991	0	4991	0.0499	0	0.0499	0	0.0000	0	0.0000	4991
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)		24	5700321	0	0	5700321	56.9508	5700321	0	5700321	56.9508	0	56.9508	0	0.0000	1439841	25.2589	5700321
Promoter Trust		2	200	0	0	200	0.0020	200	0	200	0.0020	0	0.0020	0	0.0000	0	0.0000	200
Chandra Shekhar Nopany - Trustee Shruti Family Trust	AAUTS8386D	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0010	0	0.0000	0	0.0000	100
Chandra Shekhar Nopany - Trustee Shekhar Family Trust	AAUTS8392K	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0010	0	0.0000	0	0.0000	100
Bodies Corporate		22	5700121	0	0	5700121	56.9488	5700121	0	5700121	56.9488	0	56.9488	0	0.0000	1439841	25.2589	5700121
New India Retailing And Investment Ltd	AAACN9970F	1	972652	0	0	972652	9.7176	972652	0	972652	9.7176	0	9.7176	0	0.0000	617343	53.4701	972652
Scm Investment & Trading Co. Ltd.	AAACS1569D	1	749945	0	0	749945	7.4925	749945	0	749945	7.4925	0	7.4925	0	0.0000	0	0.0000	749945
Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	739312	0	0	739312	7.3863	739312	0	739312	7.3863	0	7.3863	0	0.0000	0	0.0000	739312
Rtm Investment And Trading Co. Ltd.	AABCR3995D	1	672596	0	0	672596	6.7198	672596	0	672596	6.7198	0	6.7198	0	0.0000	521370	77.5161	672596
Sil Investments Limited	AABCS2899H	1	567240	0	0	567240	5.6672	567240	0	567240	5.6672	0	5.6672	0	0.0000	0	0.0000	567240
Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	381692	0	0	381692	3.8134	381692	0	381692	3.8134	0	3.8134	0	0.0000	0	0.0000	381692
Deepshikha Trading Co Pvt Ltd	AABCD0344C	1	332155	0	0	332155	3.3185	332155	0	332155	3.3185	0	3.3185	0	0.0000	102240	30.7808	332155
Ronson Traders Limited	AABCR3999R	1	279346	0	0	279346	2.7909	279346	0	279346	2.7909	0	2.7909	0	0.0000	65555	23.4673	279346
Hargaon Investment & Tradind Co. Ltd.	AAACH7326R	1	241255	0	0	241255	2.4103	241255	0	241255	2.4103	0	2.4103	0	0.0000	0	0.0000	241255
Sidh Enterprises Ltd.	AAECS1577M	1	214998	0	0	214998	2.1480	214998	0	214998	2.1480	0	2.1480	0	0.0000	0	0.0000	214998
Sonali Commercial Ltd.	AAECS1576L	1	149050	0	0	149050	1.4891	149050	0	149050	1.4891	0	1.4891	0	0.0000	133333	89.4552	149050
Champaran Marketing Co. Ltd.	AAABC1958M	1	95642	0	0	95642	0.9555	95642	0	95642	0.9555	0	0.9555	0	0.0000	0	0.0000	95642
Osm Investment & Trading Co. Ltd.	AAACO3312L	1	86127	0	0	86127	0.8605	86127	0	86127	0.8605	0	0.8605	0	0.0000	0	0.0000	86127
Uttam Commercial Ltd.	AAACU3603N	1	68595	0	0	68595	0.6853	68595	0	68595	0.6853	0	0.6853	0	0.0000	0	0.0000	68595
Nilgiri Plantations Limited	AAACN9968M	1	50600	0	0	50600	0.5055	50600	0	50600	0.5055	0	0.5055	0	0.0000	0	0.0000	50600
Narkatiaganj Farms Limited	AABCN8163H	1	37305	0	0	37305	0.3727	37305	0	37305	0.3727	0	0.3727	0	0.0000	0	0.0000	37305
Rajpur Farms Limited	AACCR5726K	1	33309	0	0	33309	0.3328	33309	0	33309	0.3328	0	0.3328	0	0.0000	0	0.0000	33309
Shree Vihar Properties Ltd	AAECS1578E	1	11563	0	0	11563	0.1155	11563	0	11563	0.1155	0	0.1155	0	0.0000	0	0.0000	11563
La Monde Trading & Investments Private Ltd	AAALC6886G	1	9233	0	0	9233	0.0922	9233	0	9233	0.0922	0	0.0922	0	0.0000	0	0.0000	9233
Palash Securities Limited	AAICP0502H	1	6807	0	0	6807	0.0680	6807	0	6807	0.0680	0	0.0680	0	0.0000	0	0.0000	6807
Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	424	0	0	424	0.0042	424	0	424	0.0042	0	0.0042	0	0.0000	0	0.0000	424
The Oudh Trading Co. (P) Ltd.	AABCT0812H	1	275	0	0	275	0.0027	275	0	275	0.0027	0	0.0027	0	0.0000	0	0.0000	275
Sub Total (A)(1)		27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	60.3028	0	0.0000	1439841	23.8549	6035836
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0



(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	27	6035836	0	0	6035836	60.3028	6035836	0	6035836	60.3028	0	60.3028	0	0.0000	1439841	23.8549	6035836

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)			
								Class eg: X	Class eg: Y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Institutions																		
(a)	Mutual Fund		4	1058	0	0	1058	0.0106	1058	0	1058	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		1	278	0	0	278	0.0028	278	0	278	0.0028	0	0.0028	0	0.0000	NA	NA	278
(f)	Financial Institutions / Banks		7	9326	0	0	9326	0.0932	9326	0	9326	0.0932	0	0.0932	0	0.0000	NA	NA	9065
(g)	Insurance Companies		4	372775	0	0	372775	3.7243	372775	0	372775	3.7243	0	3.7243	0	0.0000	NA	NA	372772
	Life Insurance Corporation Of India	AAACLO582H	1	328587	0	0	328587	3.2828	328587	0	328587	3.2828	0	3.2828	0	0.0000	NA	NA	328587
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		16	383437	0	0	383437	3.8308	383437	0	383437	3.8308	0	3.8308	0	0.0000	NA	NA	382115
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0												NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		16243	1867333	0	0	1867333	18.6561	1867333	0	1867333	18.6561	0	18.6561	0	0.0000	NA	NA	1787896
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2		5	498390	0	0	498390	4.9793	498390	0	498390	4.9793	0	4.9793	0	0.0000	NA	NA	498390
	Anil Kumar Goel	AAJPG2552Q		345000	0	0	345000	3.4468	345000	0	345000	3.4468	0	3.4468	0	0.0000	NA	NA	345000
(b)	NBFCs registered with RBI		1	66	0	0	66	0.0007	66	0	66	0.0007	0	0.0007	0	0.0000	NA	NA	66
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		1328	1224148	0	0	1224148	12.2302	1224148	0	1224148	12.2302	0	12.2302	0	0.0000	NA	NA	1214843
	Trusts		2	656	0	0	656	0.0066	656	0	656	0.0066	0	0.0066	0	0.0000	NA	NA	656
	Foreign Nationals		15	531	0	0	531	0.0053	531	0	531	0.0053	0	0.0053	0	0.0000	NA	NA	284
	Hindu Undivided Family		573	133615	0	0	133615	1.3349	133615	0	133615	1.3349	0	1.3349	0	0.0000	NA	NA	133614
	Non Resident Indians (Non Repat)		88	21075	0	0	21075	0.2106	21075	0	21075	0.2106	0	0.2106	0	0.0000	NA	NA	21075
	Non Resident Indians (Repat)		157	18438	0	0	18438	0.1842	18438	0	18438	0.1842	0	0.1842	0	0.0000	NA	NA	18436
	Clearing Member		116	102261	0	0	102261	1.0217	102261	0	102261	1.0217	0	1.0217	0	0.0000	NA	NA	102260
	Market Maker		1	186	0	0	186	0.0019	186	0	186	0.0019	0	0.0019	0	0.0000	NA	NA	186
	Societies		1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194



Navjeevan Medical Institute	AAATN2102N	1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194
Bodies Corporate		375	672192	0	0	672192	6.6252	672192	0	672192	6.6252	0	6.6252	0	0.0000	NA	NA	663138
Earthstone Holding (Two) Private Limited	AACCE1490K	1	107206	0	0	107206	1.0711	107206	0	107206	1.0711	0	1.0711	0	0.0000	NA	NA	107206
Sub Total (B)(3)		17577	3589937	0	0	3589937	35.8663	3589937	0	3589937	35.8663	0	35.8663	0	0.0000	NA	NA	3501195
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		17593	3973374	0	0	3973374	39.6972	3973374	0	3973374	39.6972	0	39.6972	0	0.0000	NA	NA	3883310



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

