

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649(BSE) AVADHSUGAR(NSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 30TH MARCH 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	27	6040836	0	0	6040836	60.3528	6040836	0	6040836	60.3528	0	60.3528	0	0.0000	1439841	23.835100	6040836
(B)	Public	16709	3968374	0	0	3968374	39.6472	3968374	0	3968374	39.6472	0	39.6472	0	0.0000	NA	NA	3880038
(C)	Non Promoter - Non Public				0				0			0		0	0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	16736	10009210	0	0	10009210	100.0000	10009210	0	10009210	100.0000	0	100.0000	0	0.0000	1439841	14.385100	9920874



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total as a % of (A+B+C)							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)	
1	Indian																
(a)	Individuals / Hindu Undivided Family		3	340515	0	0	340515	3.4020	340515	0	340515	3.4020	0	0.0000	0	0.0000	340515
	Nandini Nopany	ABMPN7831R	1	299602	0	0	299602	2.9933	299602	0	299602	2.9933	0	0.0000	0	0.0000	299602
	Chandra Shekhar Nopany	ABJPN5385K	1	35922	0	0	35922	0.3589	35922	0	35922	0.3589	0	0.0000	0	0.0000	35922
	Urvi Nopany	AJUPN1989J	1	4991	0	0	4991	0.0499	4991	0	4991	0.0499	0	0.0000	0	0.0000	4991
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		24	5700321	0	0	5700321	56.9508	5700321	0	5700321	56.9508	0	0.0000	0	0.0000	5700321
	Promoter Trust		2	200	0	0	200	0.0020	200	0	200	0.0020	0	0.0000	0	0.0000	200
	Chandra Shekhar Nopany - Trustee Shruti Family Trust	AAUTS8386D	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0000	0	0.0000	100
	Chandra Shekhar Nopany - Trustee Shekhar Family Trust	AAUTS8392K	1	100	0	0	100	0.0010	100	0	100	0.0010	0	0.0000	0	0.0000	100
	Bodies Corporate		22	5700121	0	0	5700121	56.9488	5700121	0	5700121	56.9488	0	0.0000	0	0.0000	100
	New India Retailing And Investment Ltd	AAACN9970F	1	972652	0	0	972652	9.7176	972652	0	972652	9.7176	0	0.0000	0	0.0000	972652
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	749945	0	0	749945	7.4925	749945	0	749945	7.4925	0	0.0000	0	0.0000	749945
	Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	739312	0	0	739312	7.3863	739312	0	739312	7.3863	0	0.0000	0	0.0000	739312
	Rtm Investment And Trading Co. Ltd.	AABCR3995D	1	672596	0	0	672596	6.7198	672596	0	672596	6.7198	0	0.0000	0	0.0000	672596
	Sil Investments Limited	AABCS2899H	1	567240	0	0	567240	5.6672	567240	0	567240	5.6672	0	0.0000	521370	77.5161	672596
	Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	381692	0	0	381692	3.8134	381692	0	381692	3.8134	0	0.0000	0	0.0000	381692
	Deepshikha Trading Co Pvt Ltd	AABCD0344C	1	332155	0	0	332155	3.3185	332155	0	332155	3.3185	0	0.0000	0	0.0000	332155
	Ronson Traders Limited	AABCR3999R	1	279346	0	0	279346	2.7909	279346	0	279346	2.7909	0	0.0000	102240	30.7808	332155
	Hargaon Investment & Tradind Co. Ltd.	AAACH7326R	1	241255	0	0	241255	2.4103	241255	0	241255	2.4103	0	0.0000	65555	23.4673	279346
	Sidh Enterprises Ltd.	AAECS1577M	1	214998	0	0	214998	2.1480	214998	0	214998	2.1480	0	0.0000	0	0.0000	214998
	Sonali Commercial Ltd.	AAECS1576L	1	149050	0	0	149050	1.4891	149050	0	149050	1.4891	0	0.0000	133333	89.4552	149050
	Champanan Marketing Co. Ltd.	AABCC1958M	1	95642	0	0	95642	0.9555	95642	0	95642	0.9555	0	0.0000	0	0.0000	95642
	Osm Investment & Trading Co. Ltd.	AAACO3312L	1	86127	0	0	86127	0.8605	86127	0	86127	0.8605	0	0.0000	0	0.0000	86127
	Uttam Commercial Ltd.	AAACU3603N	1	68595	0	0	68595	0.6853	68595	0	68595	0.6853	0	0.0000	0	0.0000	68595
	Nilgiri Plantations Limited	AAACN9968M	1	50600	0	0	50600	0.5055	50600	0	50600	0.5055	0	0.0000	0	0.0000	50600
	Narkatiaganj Farms Limited	AABCN8163H	1	37305	0	0	37305	0.3727	37305	0	37305	0.3727	0	0.0000	0	0.0000	37305
	Rajpur Farms Limited	AACCR5726K	1	33309	0	0	33309	0.3328	33309	0	33309	0.3328	0	0.0000	0	0.0000	33309
	Shree Vihar Properties Ltd	AAECS1578E	1	11563	0	0	11563	0.1155	11563	0	11563	0.1155	0	0.0000	0	0.0000	11563
	La Monde Trading & Investments Private Ltd	AAACL6886G	1	9233	0	0	9233	0.0922	9233	0	9233	0.0922	0	0.0000	0	0.0000	9233
	Palash Securities Limited	AAICP0502H	1	6807	0	0	6807	0.0680	6807	0	6807	0.0680	0	0.0000	0	0.0000	6807
	Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	424	0	0	424	0.0042	424	0	424	0.0042	0	0.0000	0	0.0000	424
	The Oudh Trading Co. (P) Ltd.	AABCT0812H	1	275	0	0	275	0.0027	275	0	275	0.0027	0	0.0000	0	0.0000	275



	Sub Total (A)(1)																		
2	Foreign	27	6040836	0	0	6040836	60.3528	6040836	0	6040836	60.3528	0	60.3528	0	0.0000	1439841	23.8351	6040836	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	27	6040836	0	0	6040836	60.3528	6040836	0	6040836	60.3528	0	60.3528	0	0.0000	1439841	23.8351	6040836	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund		4	1058	0	0	1058	0.0106	1058	0	1058	0.0106	0	0.0106	0	0.0000	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investor		1	3431	0	0	3431	0.0343	3431	0	3431	0.0343	0	0.0343	0	0.0000	NA	NA	3431
(f) Financial Institutions / Banks		7	19721	0	0	19721	0.1970	19721	0	19721	0.1970	0	0.1970	0	0.0000	NA	NA	19460
(g) Insurance Companies		4	195328	0	0	195328	1.9515	195328	0	195328	1.9515	0	1.9515	0	0.0000	NA	NA	195325
Life Insurance Corporation Of India	AAACL0582H	1	151140	0	0	151140	1.5100	151140	0	151140	1.5100	0	1.5100	0	0.0000	NA	NA	151140
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Sub Total (B)(1)		16	219538	0	0	219538	2.1934	219538	0	219538	2.1934	0	2.1934	0	0.0000	NA	NA	218216
Central Government/ State Government(s)/ President of India																		
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3 Non-Institutions																		
(a) Individuals			0	0														
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15402	1819715	0	0	1819715	18.1804	1819715	0	1819715	18.1804	0	18.1804	0	0.0000	NA	NA	1742006
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	700768	0	0	700768	7.0012	700768	0	700768	7.0012	0	7.0012	0	0.0000	NA	NA	700768
Anil Kumar Goel	AAJPG2552Q		400000	0	0	400000	3.9963	400000	0	400000	3.9963	0	3.9963	0	0.0000	NA	NA	400000
(b) NBFCs registered with RBI		2	2706	0	0	2706	0.0270	2706	0	2706	0.0270	0	0.0270	0	0.0000	NA	NA	2706
Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Any Other (Specify)		1281	1225647	0	0	1225647	12.2452	1225647	0	1225647	12.2452	0	12.2452	0	0.0000	NA	NA	1216342
Societies		1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194
Navjeevan Medical Institute	AAATN2102N	1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194



Trusts		2	656	0	0	656	0.0066	656	0	656	0.0066	0	0.0066	0	0.0000	NA	NA	656	
Foreign Nationals		7	247	0	0	247	0.0025	247	0	247	0.0025	0	0.0025	0	0.0000	NA	NA	0	
Hindu Undivided Family		575	145308	0	0	145308	1.4517	145308	0	145308	1.4517	0	1.4517	0	0.0000	NA	NA	145307	
Non Resident Indians (Non Repat)		84	16977	0	0	16977	0.1696	16977	0	16977	0.1696	0	0.1696	0	0.0000	NA	NA	16977	
Non Resident Indians (Repat)		154	23029	0	0	23029	0.2301	23029	0	23029	0.2301	0	0.2301	0	0.0000	NA	NA	23027	
Clearing Member		117	74082	0	0	74082	0.7401	74082	0	74082	0.7401	0	0.7401	0	0.0000	NA	NA	74081	
Market Maker		1	186	0	0	186	0.0019	186	0	186	0.0019	0	0.0019	0	0.0000	NA	NA	186	
Bodies Corporate			340	689968	0	0	689968	6.8933	689968	0	689968	6.8933	0	6.8933	0	0.0000	NA	NA	680914
Earthstone Holding (Two) Private Limited	AACCE1490K	1	107206	0	0	107206	1.0711	107206	0	107206	1.0711	0	1.0711	0	0.0000	NA	NA	107206	
Sub Total (B)(3)		16693	3748836	0	0	3748836	37.4539	3748836	0	3748836	37.4539	0	37.4539	0	0.0000	NA	NA	3661822	
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		16709	3968374	0	0	3968374	39.6472	3968374	0	3968374	39.6472	0	39.6472	0	0.0000	NA	NA	3880038	



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

No

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

