

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Avadh Sugar and Energy Limited
2	Scrip Code/Name of Scrip/Class of Security: 540649 (BSE) AVADHSUGAR (NSE)
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending 31ST DECEMBER 2017
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Promoter & Promoter Group	Public	Non Promoter Non Public
	Yes/No	Yes/No	Yes/No
1 Whether the Listed Entity has issued any partly paid up shares?	No	No	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
4 Whether the Listed Entity has any shares in locked-in?	No	No	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	NA	NA
6 Whether the Listed Entity has issued any Differential Voting Rights?	No	No	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XII)	(XIII)		(XIV)			
(A)	Promoter & Promoter Group	26	5940836	0	0	5940836	59.3537	5940836	0	5940836	59.3537	0	59.3537	0	0.0000	1439841	24.2363	5940836
(B)	Public	16789	4068374	0	0	4068374	40.6463	4068374	0	4068374	40.6463	0	40.6463	0	0.0000	NA	NA	3971346
(C)	Non Promoter - Non Public					0				0					0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	16815	10009210	0	0	10009210	100.0000	10009210	0	10009210	100.0000	0	100.0000	0	0.0000	1439841	14.3851	9912182



Avadh Sugar and Energy Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XII)	(XIII)		(XIV)			
1	Indian																		
(a)	Individuals / Hindu Undivided Family		3	335715	0	0	335715	3.3541	335715	0	335715	3.3541	0	3.3541	0	0.0000	0	0.0000	335715
	(i) Nandini Nopany	ABMPN7831R	1	299802	0	0	299802	2.9953	299802	0	299802	2.9953	0	2.9953	0	0.0000	0	0.0000	299802
	(ii) Chandra Shekhar Nopany	ABJPN5385K	1	30922	0	0	30922	0.3089	30922	0	30922	0.3089	0	0.3089	0	0.0000	0	0.0000	30922
	(iii) Urvi Nopany	AJUPN1989J	1	4991	0	0	4991	0.0499	4991	0	4991	0.0499	0	0.0499	0	0.0000	0	0.0000	4991
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		23	5605121	0	0	5605121	55.9996	5605121	0	5605121	55.9996	0	55.9996	0	0.0000	1439841	25.6880	5605121
(I)	Bodies Corporate		23	5605121	0	0	5605121	55.9996	5605121	0	5605121	55.9996	0	55.9996	0	0.0000	1439841	25.6880	5605121
	(i) New India Retailing And Investment Ltd	AAACN9970F	1	972652	0	0	972652	9.7176	972652	0	972652	9.7176	0	9.7176	0	0.0000	617343	63.4701	972652
	(ii) Scm Investment & Trading Co. Ltd.	AAECS1569D	1	749945	0	0	749945	7.4925	749945	0	749945	7.4925	0	7.4925	0	0.0000	0	0.0000	749945
	(iii) Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	739312	0	0	739312	7.3863	739312	0	739312	7.3863	0	7.3863	0	0.0000	0	0.0000	739312
	(iv) Rtm Investment And Trading Co. Ltd.	AABCR3995D	1	672596	0	0	672596	6.7198	672596	0	672596	6.7198	0	6.7198	0	0.0000	521370	77.5161	672596
	(v) Sil Investments Limited	AABCS2899H	1	567240	0	0	567240	5.6672	567240	0	567240	5.6672	0	5.6672	0	0.0000	0	0.0000	567240
	(vi) Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	356692	0	0	356692	3.5636	356692	0	356692	3.5636	0	3.5636	0	0.0000	0	0.0000	356692
	(vii) Hargaon Investment & Tradind Co. Ltd.	AAACH7326R	1	241255	0	0	241255	2.4103	241255	0	241255	2.4103	0	2.4103	0	0.0000	0	0.0000	241255
	(viii) Gmb Investments Private Limited	AAACG9321K	1	219705	0	0	219705	2.1950	219705	0	219705	2.1950	0	2.1950	0	0.0000	0	0.0000	219705
	(ix) Ronson Traders Limited	AABCR3999R	1	219346	0	0	219346	2.1914	219346	0	219346	2.1914	0	2.1914	0	0.0000	65555	29.8866	219346
	(x) Sidh Enterprises Ltd.	AAECS1577M	1	214998	0	0	214998	2.1480	214998	0	214998	2.1480	0	2.1480	0	0.0000	0	0.0000	214998
	(xi) Sonali Commercial Ltd.	AAECS1576L	1	149050	0	0	149050	1.4891	149050	0	149050	1.4891	0	1.4891	0	0.0000	133333	89.4552	149050
	(xii) Deepshikha Trading Co. Private Limited	AABCD0344C	1	102450	0	0	102450	1.0236	102450	0	102450	1.0236	0	1.0236	0	0.0000	102240	99.7950	102450
	(xiii) Champaran Marketing Co. Ltd.	AABCC1958M	1	95642	0	0	95642	0.9555	95642	0	95642	0.9555	0	0.9555	0	0.0000	0	0.0000	95642



	(xiv) Osm Investment & Trading Co. Ltd.	AAAC03312L	1	86127	0	0	86127	0.8605	86127	0	86127	0.8605	0	0.8605	0	0.0000	0	0.0000	86127
	(xv) Uttam Commercial Ltd.	AAACU3603N	1	68595	0	0	68595	0.6853	68595	0	68595	0.6853	0	0.6853	0	0.0000	0	0.0000	68595
	(xvi) Nilgiri Plantations Limited	AAACN9968M	1	50600	0	0	50600	0.5055	50600	0	50600	0.5055	0	0.5055	0	0.0000	0	0.0000	50600
	(xvii) Narkatiaganj Farms Limited	AABCN8163H	1	37305	0	0	37305	0.3727	37305	0	37305	0.3727	0	0.3727	0	0.0000	0	0.0000	37305
	(xviii) Rajpur Farms Limited	AACCR5726K	1	33309	0	0	33309	0.3328	33309	0	33309	0.3328	0	0.3328	0	0.0000	0	0.0000	33309
	(xix) Shree Vihar Properties Ltd	AAECS1578E	1	11563	0	0	11563	0.1155	11563	0	11563	0.1155	0	0.1155	0	0.0000	0	0.0000	11563
	(xx) La Monde Trading & Investments Private Ltd	AAACL6886G	1	9233	0	0	9233	0.0922	9233	0	9233	0.0922	0	0.0922	0	0.0000	0	0.0000	9233
	(xxi) Palash Securities Limited	AAICP0502H	1	6807	0	0	6807	0.0680	6807	0	6807	0.0680	0	0.0680	0	0.0000	0	0.0000	6807
	(xxii) Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	424	0	0	424	0.0042	424	0	424	0.0042	0	0.0042	0	0.0000	0	0.0000	424
	(xxiii) The Oudh Trading Co. (P) Ltd.	AABCT0812H	1	275	0	0	275	0.0027	275	0	275	0.0027	0	0.0027	0	0.0000	0	0.0000	275
	Sub Total (A)(1)		26	5940836	0	0	5940836	59.3537	5940836	0	5940836	59.3537	0	59.3537	0	0.0000	1439841	24.2363	5940836
	2 Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		26	5940836	0	0	5940836	59.3537	5940836	0	5940836	59.3537	0	59.3537	0	0.0000	1439841	24.2363	5940836

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011



Avadh Sugar and Energy Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		5	133805	0	0	133805	1.3368	133805	0	133805	1.3368	0	1.3368	0	0.0000	NA	NA	132747
	(i) Sundaram Mutual Fund A/C Sundaram Rural India Fund	AAATS2554B	1	132747	0	0	132747	1.3262	132747	0	132747	1.3262	0	1.3262	0	0.0000	NA	NA	132747
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		9	30463	0	0	30463	0.3043	30463	0	30463	0.3043	0	0.3043	0	0.0000	NA	NA	30463
(f)	Financial Institutions / Banks		5	261	0	0	261	0.0026	261	0	261	0.0026	0	0.0026	0	0.0000	NA	NA	0
(g)	Insurance Companies		4	372775	0	0	372775	3.7243	372775	0	372775	3.7243	0	3.7243	0	0.0000	NA	NA	372772
	(i) Life Insurance Corporation Of India	AAACL0582H	1	328587	0	0	328587	3.2828	328587	0	328587	3.2828	0	3.2828	0	0.0000	NA	NA	328587
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		23	537304	0	0	537304	5.3681	537304	0	537304	5.3681	0	5.3681	0	0.0000	NA	NA	535982
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15357	1747296	0	0	1747296	17.4569	1747296	0	1747296	17.4569	0	17.4569	0	0.0000	NA	NA	1661048
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	320781	0	0	320781	3.2049	320781	0	320781	3.2049	0	3.2049	0	0.0000	NA	NA	320781
	(i) Renu	ACSPR6623E		107667	0	0	107667	1.0757	107667	0	107667	1.0757	0	1.0757	0	0.0000	NA	NA	107667
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0



	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Any Other (Specify)	1402	1462993	0	0	1462993	14.6165	1462993	0	1462993	14.6165	0	14.6165	0	0.0000	NA	NA	1453535
(e)	Trusts	2	582	0	0	582	0.0058	582	0	582	0.0058	0	0.0058	0	0.0000	NA	NA	582
(f)	Foreign Nationals	8	531	0	0	531	0.0053	531	0	531	0.0053	0	0.0053	0	0.0000	NA	NA	284
(g)	Hindu Undivided Family	558	187462	0	0	187462	1.8729	187462	0	187462	1.8729	0	1.8729	0	0.0000	NA	NA	187461
(h)	Non Resident Indians (Non Repat)	76	12929	0	0	12929	0.1292	12929	0	12929	0.1292	0	0.1292	0	0.0000	NA	NA	12929
(i)	Non Resident Indians (Repat)	162	22643	0	0	22643	0.2262	22643	0	22643	0.2262	0	0.2262	0	0.0000	NA	NA	22641
(j)	Clearing Member	179	123730	0	0	123730	1.2362	123730	0	123730	1.2362	0	1.2362	0	0.0000	NA	NA	123729
(k)	Market Maker	1	186	0	0	186	0.0019	186	0	186	0.0019	0	0.0019	0	0.0000	NA	NA	186
(l)	Bodies Corporate	416	1114930	0	0	1114930	11.1390	1114930	0	1114930	11.1390	0	11.1390	0	0.0000	NA	NA	1105723
	(i) Navjeevan Medical Institute	1	275194	0	0	275194	2.7494	275194	0	275194	2.7494	0	2.7494	0	0.0000	NA	NA	275194
	(ii) Mugi Constructions Private Limited	1	121642	0	0	121642	1.2153	121642	0	121642	1.2153	0	1.2153	0	0.0000	NA	NA	121642
	(iii) Earthstone Holding (Two) Limited	1	107206	0	0	107206	1.0711	107206	0	107206	1.0711	0	1.0711	0	0.0000	NA	NA	107206
	Sub Total (B)(3)	16766	3531070	0	0	3531070	35.2782	3531070	0	3531070	35.2782	0	35.2782	0	0.0000	NA	NA	3435364
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	16789	4068374	0	0	4068374	40.6463	4068374	0	4068374	40.6463	0	40.6463	0	0.0000	NA	NA	3971346

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of share
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of share:
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+ (vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)		(xiii)		(xiv)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of share
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

