

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540649 (BSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending 30th September 2021
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Avadh Sugar and Energy Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	28	12089172	0	0	12089172	60.3902	12089172	0	12089172	60.3902	0	60.3902	0	0	2879682	23.8203	12089172
(B)	Public	24821	7929248	0	0	7929248	39.6098	7929248	0	7929248	39.6098	0	39.6098	0	0	NA	NA	7829115
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	24849	20018420	0	0	20018420	100	20018420	0	20018420	100	0	100	0	0	2879682	14.3851	19918287

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of	(XII)	(XIII)	(XIV)					
1	Indian																	
(a)	Individuals / Hindu Undivided Family		4	181030	0	0	181030	0.9043	181030	0	181030	0.9043	0	0	0	0	0	181030
	Nandini Nopany	Promoters	1	99204	0	0	99204	0.4956	99204	0	99204	0.4956	0	0	0	0	0	99204
	Chandra Shekhar Nopany	Promoters	1	68176	0	0	68176	0.3406	68176	0	68176	0.3406	0	0	0	0	0	68176
	Urvi Mittal	Promoter Group Entity	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0	0	0	0	9982
	Arhant Vikram Nopany	Promoter Group Entity	1	3668	0	0	3668	0.0183	3668	0	3668	0.0183	0	0	0	0	0	3668
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		24	11908142	0	0	11908142	59.4859	11908142	0	11908142	59.4859	0	0	0	2879682	24.1825	11908142
	Promoter Trust		2	507900	0	0	507900	2.5372	507900	0	507900	2.5372	0	0	0	0	0	507900
	Chandra Shekhar Nopany, Trustee Shekhar Family Trust	Promoter Group Entity	1	507700	0	0	507700	2.5362	507700	0	507700	2.5362	0	0	0	0	0	507700
	Chandra Shekhar Nopany, Trustee Shruti Family Trust	Promoter Group Entity	1	200	0	0	200	0.001	200	0	200	0.001	0	0	0	0	0	200
	Bodies Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	0	0	2879682	25.2598	11400242
	New India Retailing And Investment Ltd	Promoter Group Entity	1	1945304	0	0	1945304	9.7176	1945304	0	1945304	9.7176	0	0	0	1234686	63.4701	1945304
	Scm Investment & Trading Co. Ltd.	Promoter Group Entity	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	0	0	0	0	1499890
	Uttar Pradesh Trading Co. Ltd	Promoter Group Entity	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	0	0	0	0	1478624
	Rtm Investment And Trading Co. Ltd.	Promoter Group Entity	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	0	0	1042740	77.5161	1345192
	Sil Investments Limited	Promoter Group Entity	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	0	0	0	0	1134480
	Yashvardhan Investment & Trading Co. Ltd.	Promoter Group Entity	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	0	0	0	0	763384
	Deepshikha Trading Co Pvt Ltd	Promoter Group Entity	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	0	0	204480	30.7808	664310
	Ronson Traders Limited	Promoter Group Entity	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	0	0	131110	23.4673	558692
	Hargaon Investment & Trading Co. Ltd.	Promoter Group Entity	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	0	0	0	0	482510
	Sidh Enterprises Ltd.	Promoter Group Entity	1	429996	0	0	429996	2.148	429996	0	429996	2.148	0	0	0	0	0	429996
	Sonali Commercial Ltd.	Promoter Group Entity	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	0	0	266666	89.4552	298100
	Champaran Marketing Co. Ltd.	Promoter Group Entity	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0	0	0	0	191284
	Osm Investment & Trading Co. Ltd.	Promoter Group Entity	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0	0	0	0	172254
	Uttam Commercial Ltd.	Promoter Group Entity	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0	0	0	0	137190
	Nilgiri Plantations Limited	Promoter Group Entity	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0	0	0	0	101200
	Narkatiaganj Farms Limited	Promoter Group Entity	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0	0	0	0	74610
	Rajpur Farms Limited	Promoter Group Entity	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0	0	0	0	66618
	Shree Vihar Properties Ltd	Promoter Group Entity	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0	0	0	0	23126
	La Monde Trading & Investments Private Ltd	Promoter Group Entity	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0	0	0	0	18466
	Palash Securities Limited	Promoter Group Entity	1	13614	0	0	13614	0.068	13614	0	13614	0.068	0	0	0	0	0	13614
	Pavapuri Trading And Investment Company Ltd.	Promoter Group Entity	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0	0	0	0	848
	The Oudh Trading Co. (P) Ltd.	Promoter Group Entity	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0	0	0	0	550
	Sub Total (A)(1)		28	12089172	0	0	12089172	60.3902	12089172	0	12089172	60.3902	0	0	0	2879682	23.8203	12089172
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)		28	12089172	0	0	12089172	60.3902	12089172	0	12089172	60.3902	0	0	0	2879682	23.8203	12089172

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities (XI)=(VII)+(X) As a % of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
1 Institutions																	
(a) Mutual Fund	4	2116	0	0	2116	0.0106	2116	0	2116	0.0106	0	0.0106	0	0	NA	NA	0
(b) Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c) Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e) Foreign Portfolio Investor	13	191071	0	0	191071	0.9545	191071	0	191071	0.9545	0	0.9545	0	0	NA	NA	191071
(f) Financial Institutions / Banks	5	1936	0	0	1936	0.0097	1936	0	1936	0.0097	0	0.0097	0	0	NA	NA	1548
(g) Insurance Companies	3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.2983	0	0	NA	NA	59705
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Sub Total (B)(1)	25	254834	0	0	254834	1.273	254834	0	254834	1.273	0	1.273	0	0	NA	NA	252324
2 Central Government/ State Government(s)/ President of India																	
Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3 Non-Institutions																	
(a) Individuals		0	0	0											NA	NA	
i. Individual shareholders holding nominal share capital up to	23468	4001655	0	0	4001655	19.9899	4001655	0	4001655	19.9899	0	19.9899	0	0	NA	NA	3921347
ii. Individual shareholders holding nominal share capital in excess of	17	1766775	0	0	1766775	8.8257	1766775	0	1766775	8.8257	0	8.8257	0	0	NA	NA	1766775
Anil Kumar Goel		1093000	0	0	1093000	5.46	1093000	0	1093000	5.46	0	5.46	0	0	NA	NA	1093000
(b) NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c) Any Other (Specify)	1311	1905984	0	0	1905984	9.5212	1905984	0	1905984	9.5212	0	9.5212	0	0	NA	NA	1888669
Societies	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	0	NA	NA	550388
Navjeevan Medical Institute	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	2.7494	0	0	NA	NA	550388
Trusts	1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0016	0	0	NA	NA	312
Hindu Undivided Family	655	336992	0	0	336992	1.6834	336992	0	336992	1.6834	0	1.6834	0	0	NA	NA	336990
Non Resident Indians (Non Repat)	97	48773	0	0	48773	0.2436	48773	0	48773	0.2436	0	0.2436	0	0	NA	NA	48773
Non Resident Indians (Repat)	210	95734	0	0	95734	0.4782	95734	0	95734	0.4782	0	0.4782	0	0	NA	NA	95730
Body Corp-Ltd Liability Partnership	13	83048	0	0	83048	0.4149	83048	0	83048	0.4149	0	0.4149	0	0	NA	NA	83048
Unclaimed Shares	1	63992	0	0	63992	0.3197	63992	0	63992	0.3197	0	0.3197	0	0	NA	NA	63947
Clearing Member	71	27853	0	0	27853	0.1391	27853	0	27853	0.1391	0	0.1391	0	0	NA	NA	27851
Bodies Corporate	262	698892	0	0	698892	3.4912	698892	0	698892	3.4912	0	3.4912	0	0	NA	NA	681630
The Hindustan Times Limited	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	1.0711	0	0	NA	NA	214412
Sub Total (B)(3)	24796	7674414	0	0	7674414	38.3368	7674414	0	7674414	38.3368	0	38.3368	0	0	NA	NA	7576791
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	24821	7929248	0	0	7929248	39.6098	7929248	0	7929248	39.6098	0	39.6098	0	0	NA	NA	7829115

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Anil Kumar Goel	Seema Goel	1350046	6.74
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Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

No. of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
779	63992	63992	

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)	(X)	(XI)= (VII)+(X) As a % of	(XII)	(XIII)	(XIV)						
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

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Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Particulars of the shares in which significant		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany	ABJPN5385K	India	71844	0.36	08-02-2019
2	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	India	500200	2.50	08-02-2019
3	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	AAUTS8386D	India	200	0.00	08-02-2019
4	Chandra Shekhar Nopany #	ABJPN5385K	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019
5	Chandra Shekhar Nopany #	ABJPN5385K	India	Palash Securities Limited	AAICP0502H	India			08-02-2019
6	Nandini Nopany	ABMPN7831R	India	Nandini Nopany	ABMPN7831R	India	99204	0.50	08-02-2019
7	Nandini Nopany #	ABMPN7831R	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019

Note - # through significant influence