

etc

AVADH/SE/2020-21/21

July 17, 2020

The Manager
Listing Department
National Stock Exchange of India Limited
'Exchange Plaza'
C - 1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400051
SYMBOL - AVADHSUGAR

The Manager
Listing Department
BSE Ltd
1st Floor, New Trading Ring
Rotunda Building
P.J. Towers
Dalal Street, Fort
Mumbai-400 001
STOCK CODE - 540649

✓
The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Scrip Code - 11610

Dear Sirs,

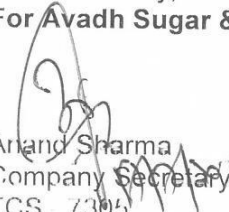
Sub : Shareholding Pattern as on 30th June, 2020

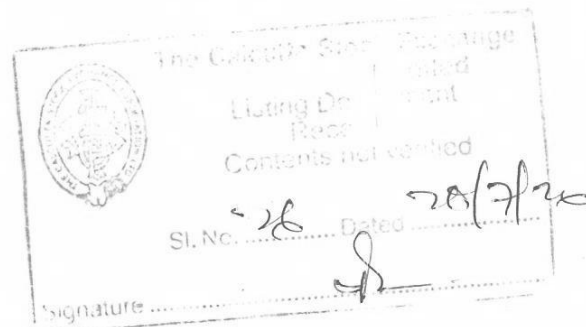
Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith the shareholding pattern as on 30th June, 2020 in the prescribed format.

Please acknowledge receipt.

Thanking you,

Yours faithfully,
For Avadh Sugar & Energy Limited


Anand Sharma
Company Secretary
FCS - 7305



Encl - as above



K.K. BIRLA GROUP OF SUGAR COMPANIES

Corporate Office: 5th Floor, Birla Building, 9/1 R. N. Mukherjee Road, Kolkata 700 001 • Email: birlasugar@birla-sugar.com

Phone: +91 33 2243 0497 / 8, 2248 7068, 3057 3700, 3041 0900 • Fax: +91 33 2248 6369

Regd Office: P.O. Hargaon, Dist. Sitapur, U.P., PIN 261 121 • Website : www.birla-sugar.com • CIN: L15122UP2015PLC069635

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Avadh Sugar and Energy Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 540649 (BSE)	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 30th June 2020
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



Avadh Sugar and Energy Limited

Table - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (-calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	60.3523	0	0.0000	2879682	23.835100	12081672
(B)	Public	19084	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	39.6472	0	0.0000	NA	NA	7770049
(C)	Non Promoter - Non Public								0			0		0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	19111	20018420	0	0	20018420	100.0000	20018420	0	20018420	100.0000	0	100.0000	0	0.0000	2879682	14.385100	19851721



Avadh Sugar and Energy Limited

Table B - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities (IX)			Shares Underlying Outstanding convertible securities (including securities convertible into shares) (X)	ing, as a % assuming full conversion of convertible securities (XI) =	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
								Class eg. X	Class eg. Y	Total as a % of (A+B+C) (X)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																		No. of Voting Rights
1	Indian																	
(a)	Individuals / Hindu Undivided Family		3	181030	0	0	181030	0.9043	181030	0	181030	0.9043	0	0.0000	C	0.0000	181030	
	Chandni Nopany	ABMPN7831R	1	99204	0	0	99204	0.4956	99204	0	99204	0.4956	0	0.0000	C	0.0000	99204	
	Chandna Shekhar Nopany	ABJPN5385K	1	71844	0	0	71844	0.3589	71844	0	71844	0.3589	0	0.0000	C	0.0000	71844	
	Urvi Nopany	AJUPN1989J	1	9982	0	0	9982	0.0499	9982	0	9982	0.0499	0	0.0000	C	0.0000	9982	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(c)	Financial institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(d)	Any Other (Specify) Promoter Trust		24	11900642	0	0	11900642	59.4485	11900642	0	11900642	59.4485	0	0.0000	C	2879682	24.1977	11900642
	Chandna Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	1	500200	0	0	500200	2.4987	500200	0	500200	2.4987	0	0.0000	0	0.0000	500200	
	Chandna Shekhar Nopany-Trustee of Shrut: Family Trust	AAUTS8386D	1	200	0	0	200	0.0010	200	0	200	0.0010	0	0.0000	0	0.0000	200	
	Bodies-Corporate		22	11400242	0	0	11400242	56.9488	11400242	0	11400242	56.9488	0	0.0000	C	2879682	25.2598	11400242
	New India Retailing And Investment Ltd	AAACN9970F	1	1945304	0	0	1945304	9.7175	1945304	0	1945304	9.7175	0	0.0000	0	334686	63.4701	1945304
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	1499890	0	0	1499890	7.4925	1499890	0	1499890	7.4925	0	0.0000	0	0.0000	1499890	
	Uttar Pradesh Trading Co. Ltd	AAACU3602P	1	1478624	0	0	1478624	7.3863	1478624	0	1478624	7.3863	0	0.0000	0	0.0000	1478624	
	Rtm Investment And Trading Co. Ltd.	AAABC3995D	1	1345192	0	0	1345192	6.7198	1345192	0	1345192	6.7198	0	0.0000	0	0.0000	1345192	
	Sil Investments Limited	AAABC2899H	1	1134480	0	0	1134480	5.6672	1134480	0	1134480	5.6672	0	0.0000	0	0.0000	1134480	
	Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	763384	0	0	763384	3.8134	763384	0	763384	3.8134	0	0.0000	0	0.0000	763384	
	Deepnikha Trading Co Pvt Ltd	AAACD0344C	1	664310	0	0	664310	3.3185	664310	0	664310	3.3185	0	0.0000	294480	30.7808	664310	
	Ronson Traders Limited	AAABC3999R	1	558692	0	0	558692	2.7909	558692	0	558692	2.7909	0	0.0000	131110	23.4673	558692	
	Hargaan Investment & Trading Co. Ltd.	AAACH7326R	1	482510	0	0	482510	2.4103	482510	0	482510	2.4103	0	0.0000	0	0.0000	482510	
	Sidh Enterprises Ltd.	AAECS1577M	1	429996	0	0	429996	2.1480	429996	0	429996	2.1480	0	0.0000	0	0.0000	429996	
	Sonali Commercial Ltd.	AAECS1576L	1	298100	0	0	298100	1.4891	298100	0	298100	1.4891	0	0.0000	0	0.0000	298100	
	Chamasan Marketing Co. Ltd.	AAACC1958M	1	191284	0	0	191284	0.9555	191284	0	191284	0.9555	0	0.0000	0	0.0000	191284	
	Osm Investment & Trading Co. Ltd.	AAACO3312L	1	172254	0	0	172254	0.8605	172254	0	172254	0.8605	0	0.0000	0	0.0000	172254	
	Uttam Commercial Ltd.	AAACU3603N	1	137190	0	0	137190	0.6853	137190	0	137190	0.6853	0	0.0000	0	0.0000	137190	
	Nilgiri Plantations Limited	AAACN9968M	1	101200	0	0	101200	0.5055	101200	0	101200	0.5055	0	0.0000	0	0.0000	101200	
	Narkajaganj Farms Limited	AAACN8163H	1	74610	0	0	74610	0.3727	74610	0	74610	0.3727	0	0.0000	0	0.0000	74610	
	Rajput Farms Limited	AAACR5726K	1	66618	0	0	66618	0.3328	66618	0	66618	0.3328	0	0.0000	0	0.0000	66618	
	Shree Nilpar Properties Ltd	AAECS1578E	1	23126	0	0	23126	0.1155	23126	0	23126	0.1155	0	0.0000	0	0.0000	23126	
	Ma Micrida Trading & Investments Private Ltd	AAACL6886G	1	18466	0	0	18466	0.0922	18466	0	18466	0.0922	0	0.0000	0	0.0000	18466	
	Palash Securities Limited	AAICP0502H	1	13614	0	0	13614	0.0680	13614	0	13614	0.0680	0	0.0000	0	0.0000	13614	
	Pavapuri Trading And Investment Company Ltd.	AAACP7400R	1	848	0	0	848	0.0042	848	0	848	0.0042	0	0.0000	0	0.0000	848	
	The Oudh Trading Co. (P) Ltd.	AAABCT0812H	1	550	0	0	550	0.0027	550	0	550	0.0027	0	0.0000	C	0.0000	550	
	Sub Total (A)(1)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	C	2879682	23.8351	12081672
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		27	12081672	0	0	12081672	60.3528	12081672	0	12081672	60.3528	0	0.0000	C	2879682	23.8351	12081672

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Avadh Sugar and Energy Limited

Table 1 - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a % of (A+B+C)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including Shares in which conversion of convertible securities is pending, as a % assuming full conversion of convertible securities) (X)	Number of Locked in shares (XI)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
									Class eg: K	Class eg: Y	Total as a % of (A+B+C) (IX)		No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
1	Institutions																	
(a)	Mutual Fund		4	2116	0	0	2116	0.0106	2116	0	2115	0.0106	0	0.0000	NA	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f)	Financial Institutions / Banks		7	61895	0	0	61895	0.3092	61895	0	51895	0.3092	0	0.0000	NA	NA	61373	
(g)	Insurance Companies		3	59711	0	0	59711	0.2983	59711	0	59711	0.2983	0	0.0000	NA	NA	59705	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Sub Total (B (1))		14	123722	0	0	123722	0.6180	123722	0	123722	0.6180	0	0.0000	NA	NA	121078	
	Central Government/ State Government(s)/ President of India																	
2	Sub Total (B(2))		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
3	Non-institutions																	
(a)	Individuals																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		17718	3908095	0	0	3908095	9.5225	3908095	0	3908095	9.5225	0	0.0000	NA	NA	3762448	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		18	1832261	0	0	1832261	9.1529	1832261	0	1832261	9.1529	0	0.0000	NA	NA	1832261	
	Anil Kumar Goel	AAJPG2552Q		1090611	0	0	1090611	5.4480	1090611	0	1090611	5.4480	0	0.0000	NA	NA	1090611	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Any Other (Specify)		1334	2072670	0	0	2072670	10.3538	2072670	0	2072670	10.3538	0	0.0000	NA	NA	2054262	
	Trusts		1	312	0	0	312	0.0016	312	0	312	0.0016	0	0.0000	NA	NA	312	
	Societies		1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388	
	Navjeevan Medical Institute	AAATN2102N	1	550388	0	0	550388	2.7494	550388	0	550388	2.7494	0	0.0000	NA	NA	550388	
	Foreign Nationals		7	494	0	0	494	0.0025	494	0	494	0.0025	0	0.0000	NA	NA	0	
	Hindu Undivided Family		642	352561	0	0	352561	1.7612	352561	0	352561	1.7612	0	0.0000	NA	NA	352559	
	Non Resident Indians (Non Repat)		92	24597	0	0	24597	0.1229	24597	0	24597	0.1229	0	0.0000	NA	NA	24597	
	Non Resident Indians (Repat)		172	115479	0	0	115479	0.5769	115479	0	115479	0.5769	0	0.0000	NA	NA	115475	
	Clearing Member		97	65404	0	0	65404	0.3267	65404	0	65404	0.3267	0	0.0000	NA	NA	65402	
	Market Maker		1	372	0	0	372	0.0019	372	0	372	0.0019	0	0.0000	NA	NA	372	
	Bodies Corporate		321	963063	0	0	963063	4.8109	963063	0	963063	4.8109	0	0.0000	NA	NA	945157	
	Earthstone Holding (Two) Private Limited	AAACE:490K	1	214412	0	0	214412	1.0711	214412	0	214412	1.0711	0	0.0000	NA	NA	214412	
	Sub Total (3)(3)		19070	7813026	0	0	7813026	39.0292	7813026	0	7813026	39.0292	0	0.0000	NA	NA	7648971	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		19084	7936748	0	0	7936748	39.6472	7936748	0	7936748	39.6472	0	0.0000	NA	NA	7770049	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Name of Shareholders	No. of Shares	%
ANIL KUMAR GOEL	1090611	5.45
SEEMA GOEL	135000	0.67

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to disclose name of all holders holding more than 1% of total number of shares
- Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Avadh Sugar and Energy Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C)	Number of Voting Rights held in each class of securities:			Shares Underlying Outstanding convertible securities (including securities	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights					Total as a % of (A+B-C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a % of (A+B+C)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)	(xviii)	
1		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Avadh Sugar and Energy Limited

Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of Shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
1	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany	ABJPN5385K	India	71844	0.36	08-02-2019
2	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shekhar Family Trust	AAUTS8392K	India	500200	2.50	08-02-2019
3	Chandra Shekhar Nopany	ABJPN5385K	India	Chandra Shekhar Nopany-Trustee of Shruti Family Trust	AAUTS8336D	India	200	0.00	08-02-2019
4	Chandra Shekhar Nopany #	ABJPN5385K	India	Ronson Traders Limited	AA3CR3999R	India			08-02-2019
5	Chandra Shekhar Nopany #	ABJPN5385K	India	Palash Securities Limited	AA C³0502H	India			08-02-2019
6	Nandini Nopany	ABMPN7831R	India	Nandini Nopany	ABMPN7831R	India	99204	0.50	08-02-2019
7	Nandini Nopany #	ABMPN7831R	India	Ronson Traders Limited	AABCR3999R	India			08-02-2019

Note - # through significant influence

